

# Approximation algorithms for geometric intersection graphs

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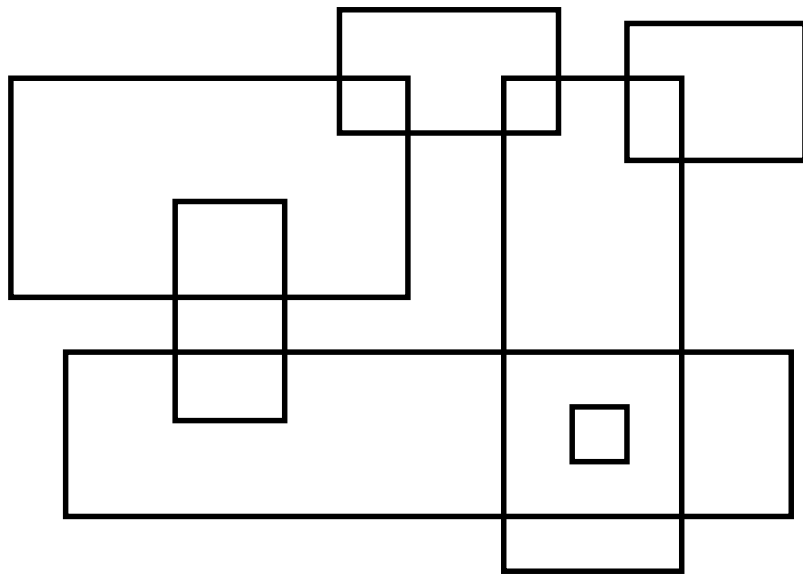
# Outline

- **Introduction**
- **Independent sets in disk graphs**
- **Vertex covers in disk graphs**
- **Vertex coloring disk graphs**
- **Rectangle intersection graphs**
- **Dominating sets in unit disk graphs**
- **Some open problems**

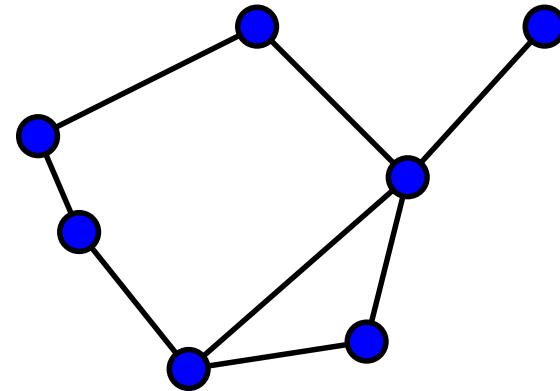
# What are geometric intersection graphs?

- ☞ **vertices** = geometric objects
- ☞ **edges** = non-empty **intersection** between objects

## Example: a rectangle intersection graph



geometric representation



intersection graph

# Popular geometric intersection graphs

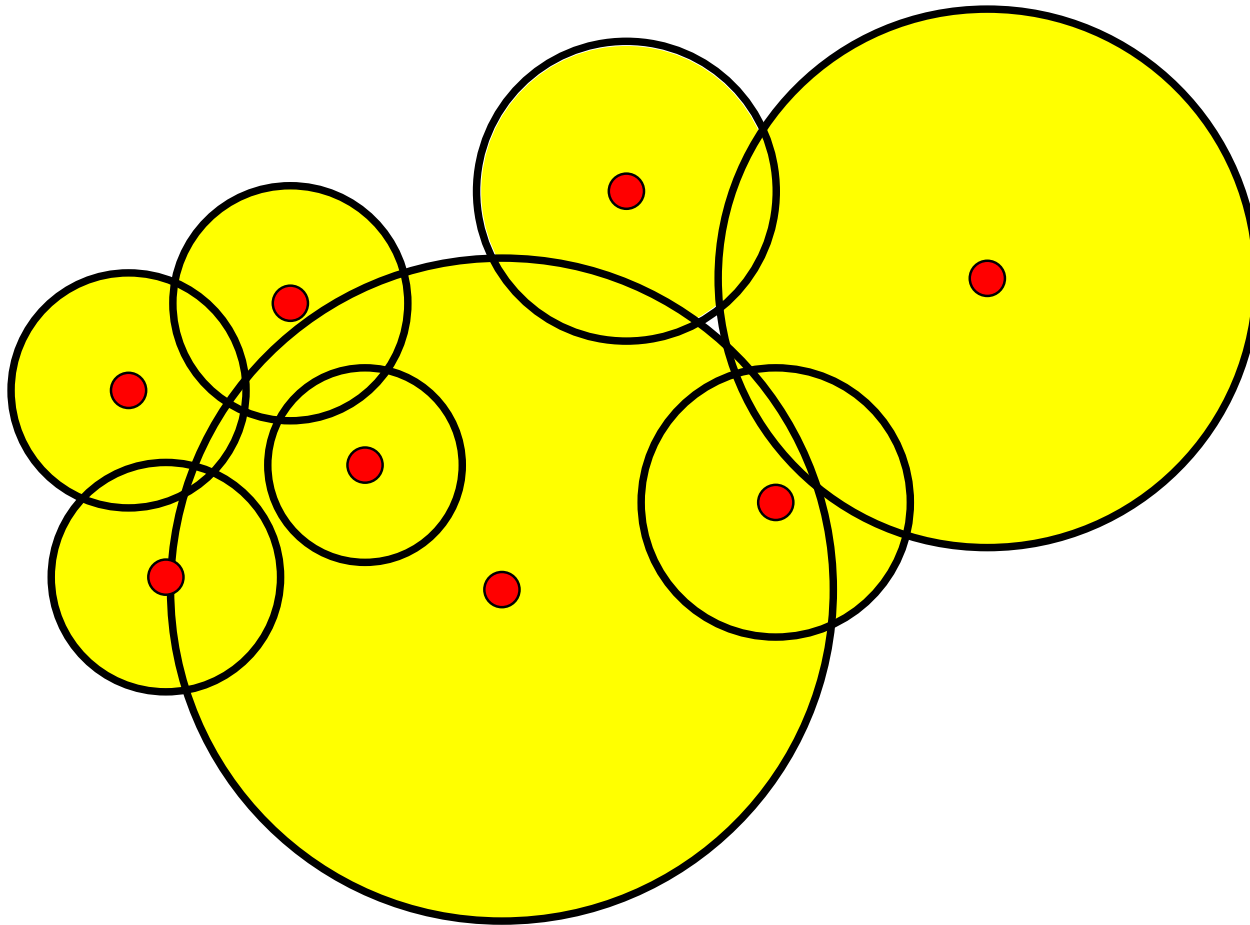
- ❑ disks (→ **disk graphs**), squares
- ❑ “fat” objects
- ❑ ellipses, rectangles (axis-aligned), arbitrary convex objects
- ❑ line segments, curves, higher-dimensional objects

The **recognition problem is typically *NP-hard*!!**

## Some Applications:

- ⇒ Wireless networks (frequency assignment problems)
- ⇒ Map labeling
- ⇒ Resource allocation (e.g. admission control in line networks)

# Application: Wireless networks

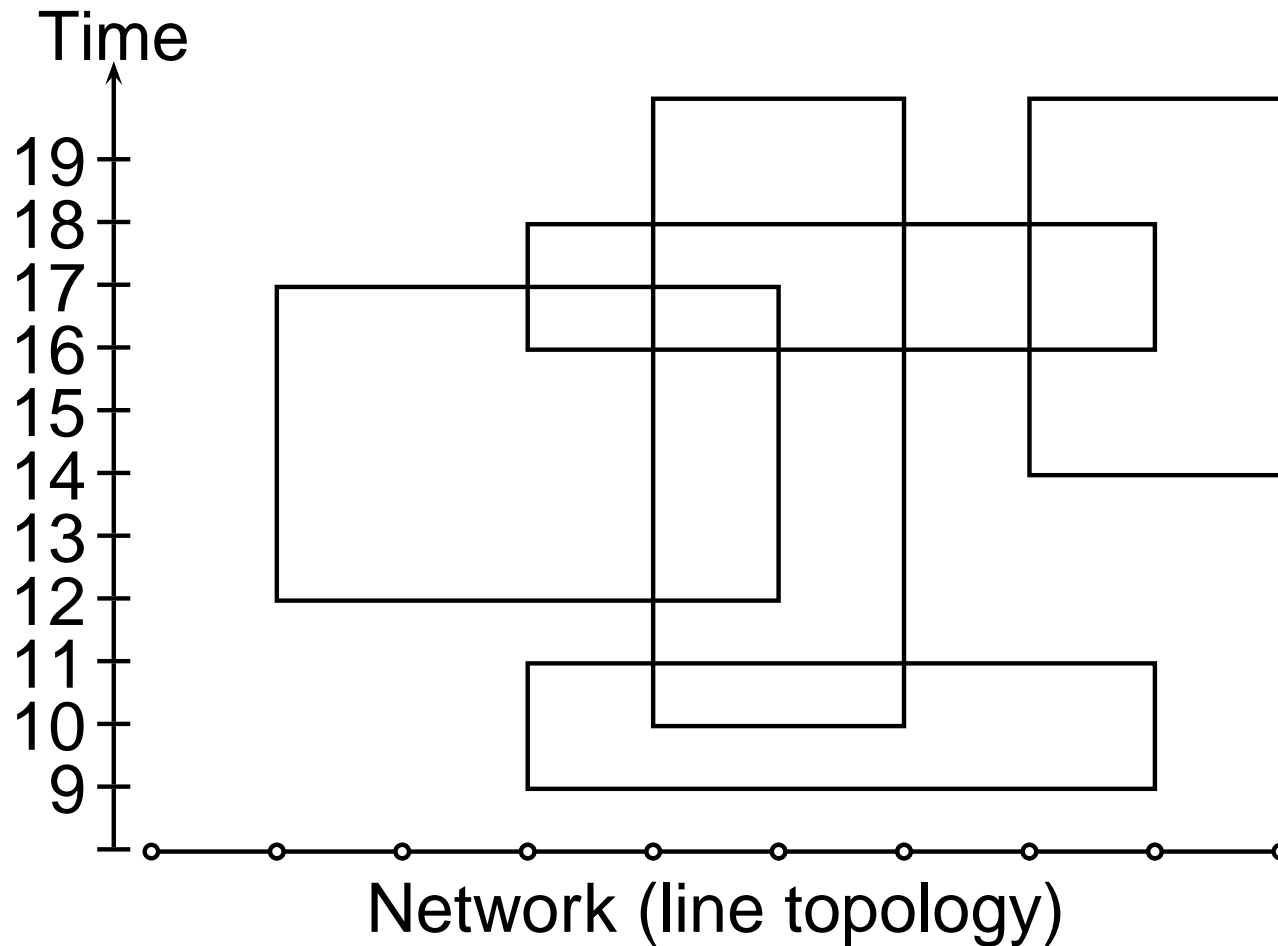


# Application: Map labeling



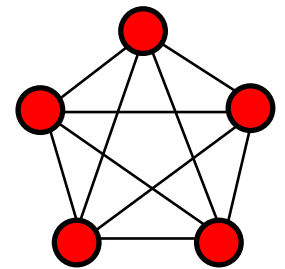
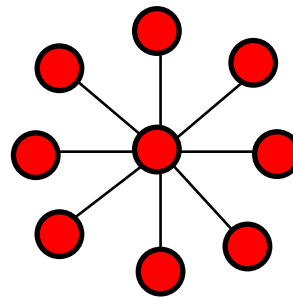
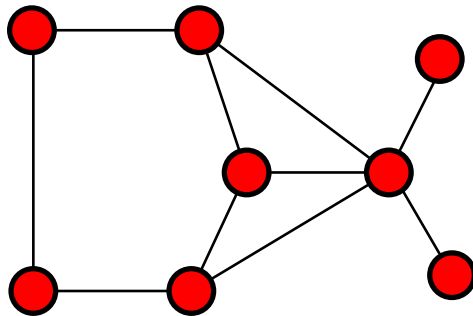
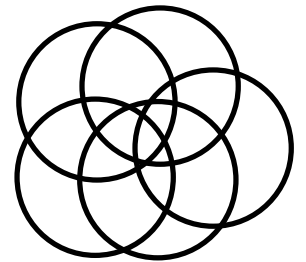
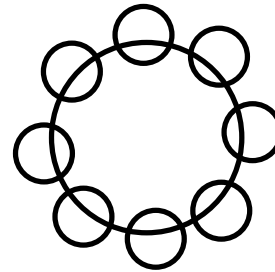
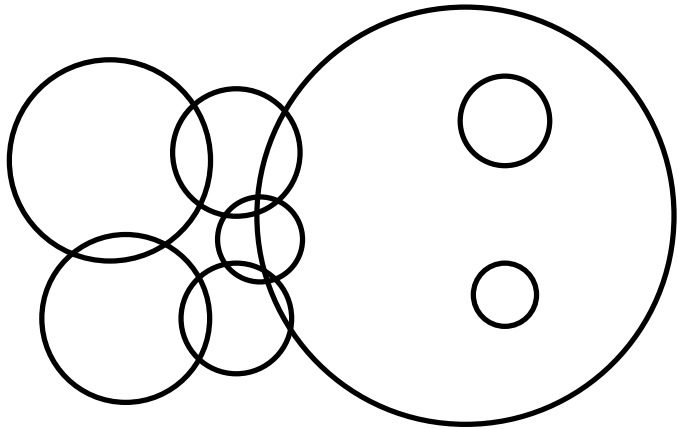
(illustration taken from a paper by van Kreveld, Strijk, Wolff)

# Application: Call admission control



# Disk graphs

... are the intersection graphs of disks in the plane:

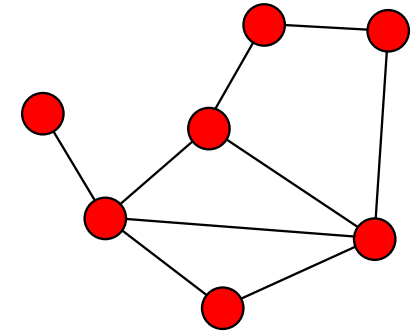
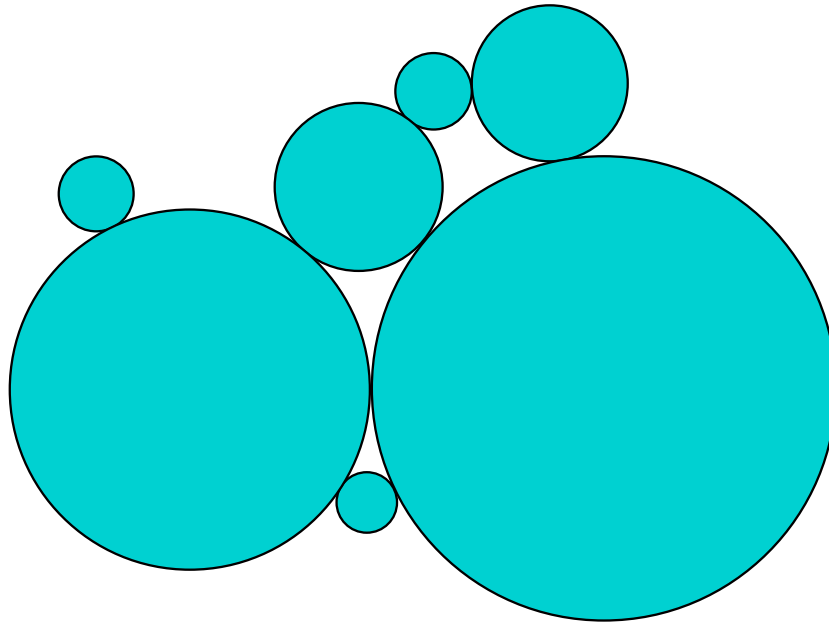




# Subclasses of disk graphs

✿ **Unit disk graphs:** all disks have diameter 1

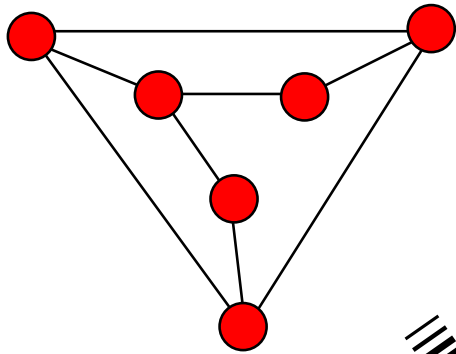
✿ **Coin graphs:** touching graphs of disks whose interiors are disjoint



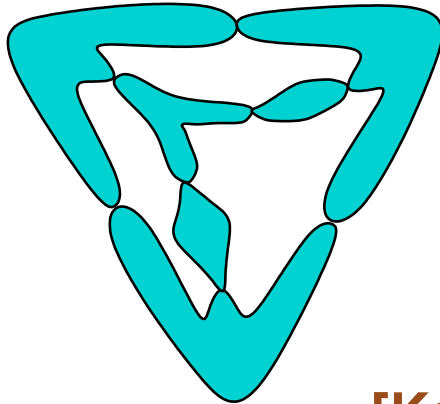
**Coin graphs are planar, but surprisingly ...**

# ...every planar graph is a coin graph

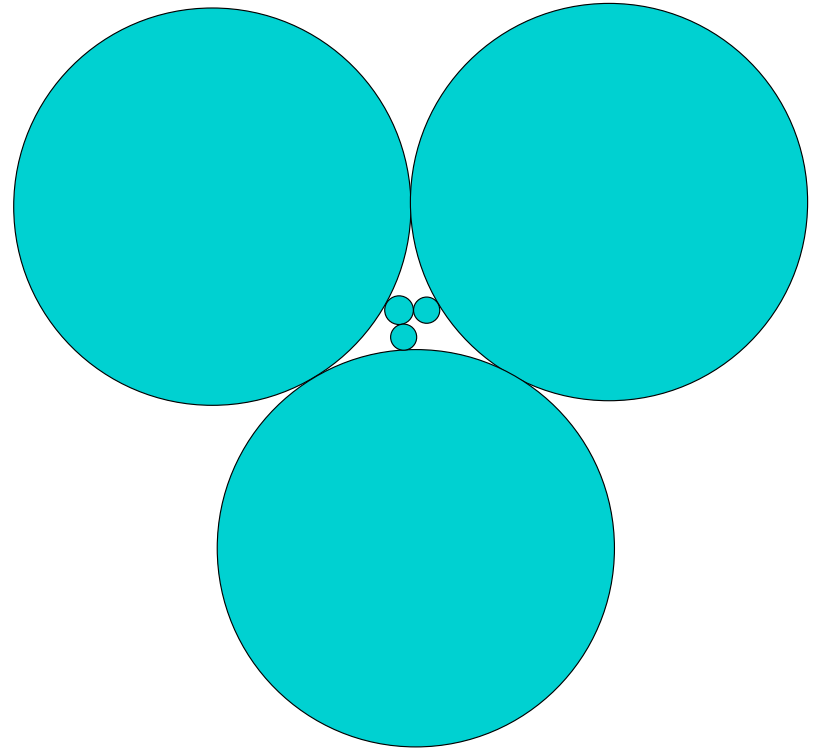
planar graph:



touching graph of “blobs”:



touching graph of disks:



[Koebe, 1936]

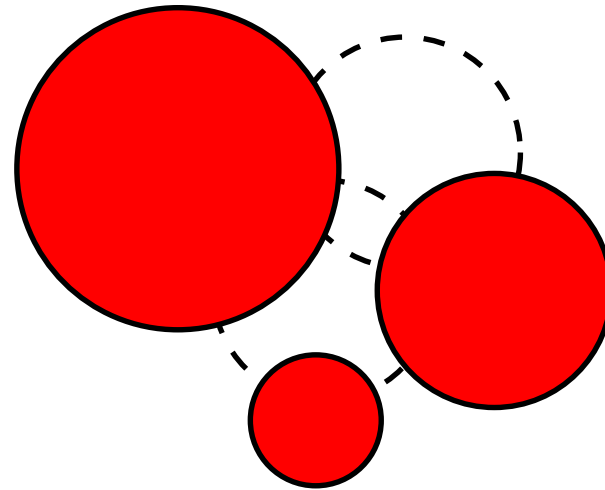
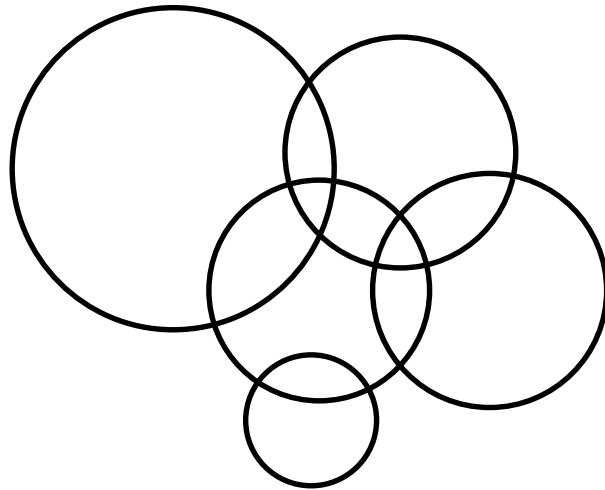
# Maximum Independent Set

# Maximum Independent Set (MIS)

**Input:** a set  $\mathcal{D}$  of disks in the plane

**Feasible solution:** subset  $A \subseteq \mathcal{D}$  of disjoint disks

**Goal:** maximize  $|A|$



In the weighted case (MWIS), each disk is associated with a positive weight.

# Approximation algorithms for MIS

An algorithm for MIS is a  $\rho$ -approximation algorithm if it

- runs in **polynomial time** and
- always outputs an independent set of **size at least  $OPT/\rho$** , where  $OPT$  is the size of the optimal independent set.

A **polynomial-time approximation scheme (PTAS)** is a family of  $(1 + \varepsilon)$ -approximation algorithms for every constant  $\varepsilon > 0$ .

For MWIS, the definitions are analogous.

# MIS in unit disk graphs

The problem is  $\mathcal{NP}$ -hard [Clark, Colbourn, Johnson'90].  
Let's try the **greedy algorithm**:

## Algorithm GREEDY

$I = \emptyset$ ;

**for** all given disks  $D$  **do**

**if**  $D$  is disjoint from the disks in  $I$  **then**

$I = I \cup \{D\}$ ;

**return**  $I$ ;

# Analysis of the greedy algorithm

- ① Compare the greedy solution  $I$  with the optimal solution  $I^*$ .
- ② “Charge” every disk in  $I^*$  to a disk in  $I$ .
- ③ Bound the number of disks charged to the same disk in  $I$ .

## Charging rules for a disk $D \in I^*$ :

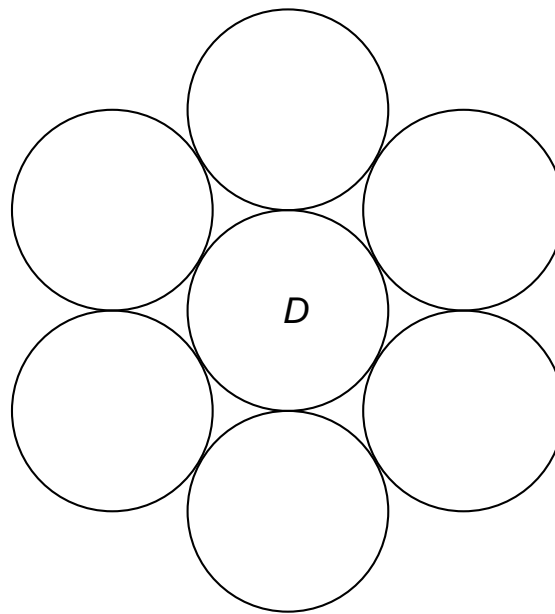
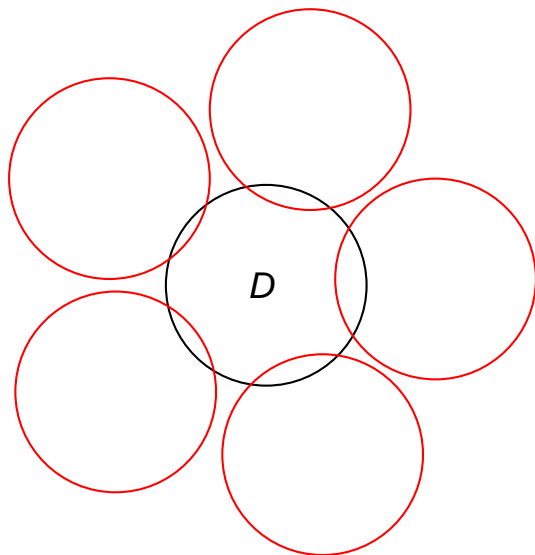
- ⇒ If  $D$  is in  $I$ , charge  $D$  to itself.
- ⇒ If  $D$  is not in  $I$ , then charge it to any disk that intersects  $D$  and was accepted by GREEDY before it processed  $D$ .

# How often can a disk $D$ in $I$ be charged?

If  $D$  is also in  $I^*$ ,  $D$  is charged only once.

If  $D$  is not in  $I^*$ , it is charged by disks in  $I^*$  that intersect  $D$ .

These disks are disjoint, so there can be at most 5 such disks:



↳  $|I^*| \leq 5|I|$  and **GREEDY is a 5-approximation algorithm.**



# An improved greedy algorithm

## Algorithm LEFTMOST-GREEDY

$I = \emptyset$ ;

**for** all given disks  $D$  **in order of increasing  $x$ -value** **do**

**if**  $D$  is disjoint from the disks in  $I$  **then**

$I = I \cup \{D\}$ ;

**return**  $I$ ;

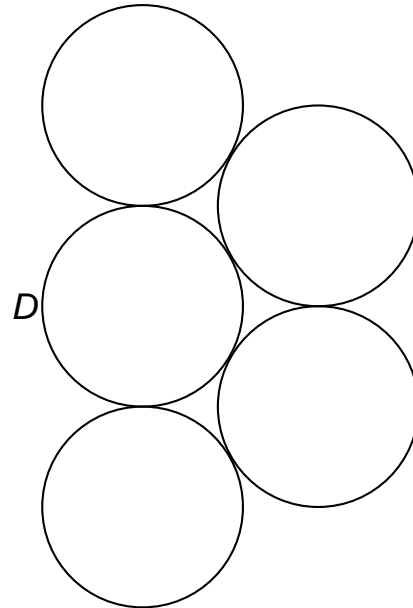
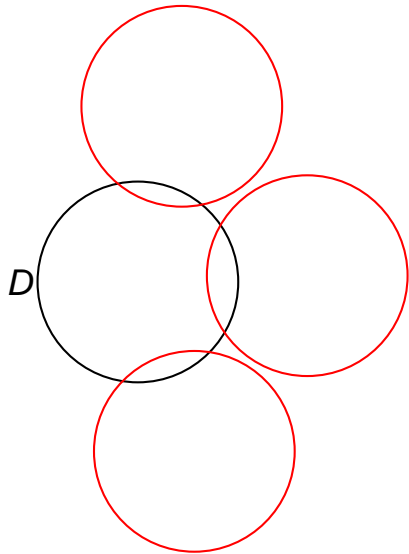
**Claim.** LEFTMOST-GREEDY is a **3-approximation algorithm** for MIS in unit disk graphs.

# Analysis of LEFTMOST-GREEDY

Use the same charging argument.

**Note:** A disk  $D$  in  $I$  receives charge from disks in  $I^*$  that are processed **after**  $D$  by LEFTMOST-GREEDY.

Therefore, each disk is charged at most three times:



# Do we need the representation?

**GREEDY** did not need to know the representation, but what about **LEFTMOST-GREEDY**?

For getting ratio 3 we needed only the following:

When a disk  $D$  is selected, the disks intersecting  $D$  that are processed later contain at most three disjoint disks.

➔ We can still get ratio 3 if we can identify a disk whose neighborhood does not contain four disjoint disks!

# LEFTMOST-GREEDY w/o representation

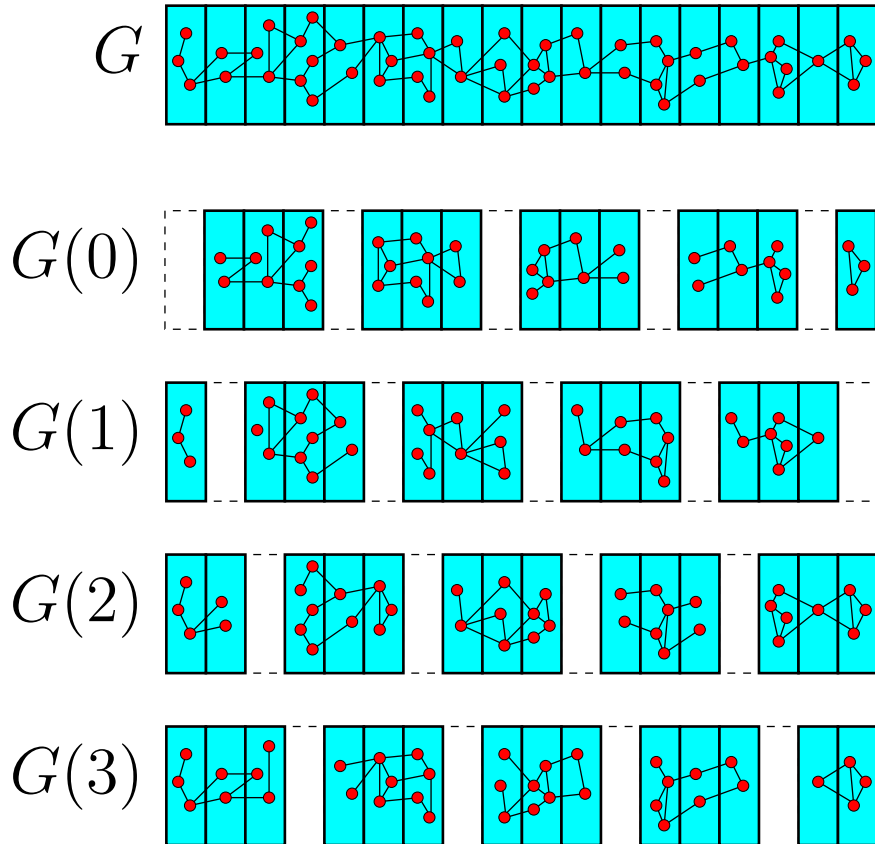
Given a graph  $G = (V, E)$  that is the intersection graph of unit disks, the following is a **3-approximation algorithm for MIS:**

```
 $I = \emptyset;$   
repeat  
   $v =$  a vertex whose neighborhood does not  
  have 4 independent vertices;  
   $I = I \cup \{v\};$   
  delete  $v$  and its neighbors from the graph;  
until the graph is empty;  
return  $I;$ 
```

The vertex  $v$  can be found in  $O(|V|^5)$  time.

# The shifting strategy

[Baker, 1984; Hochbaum and Maass, 1985]

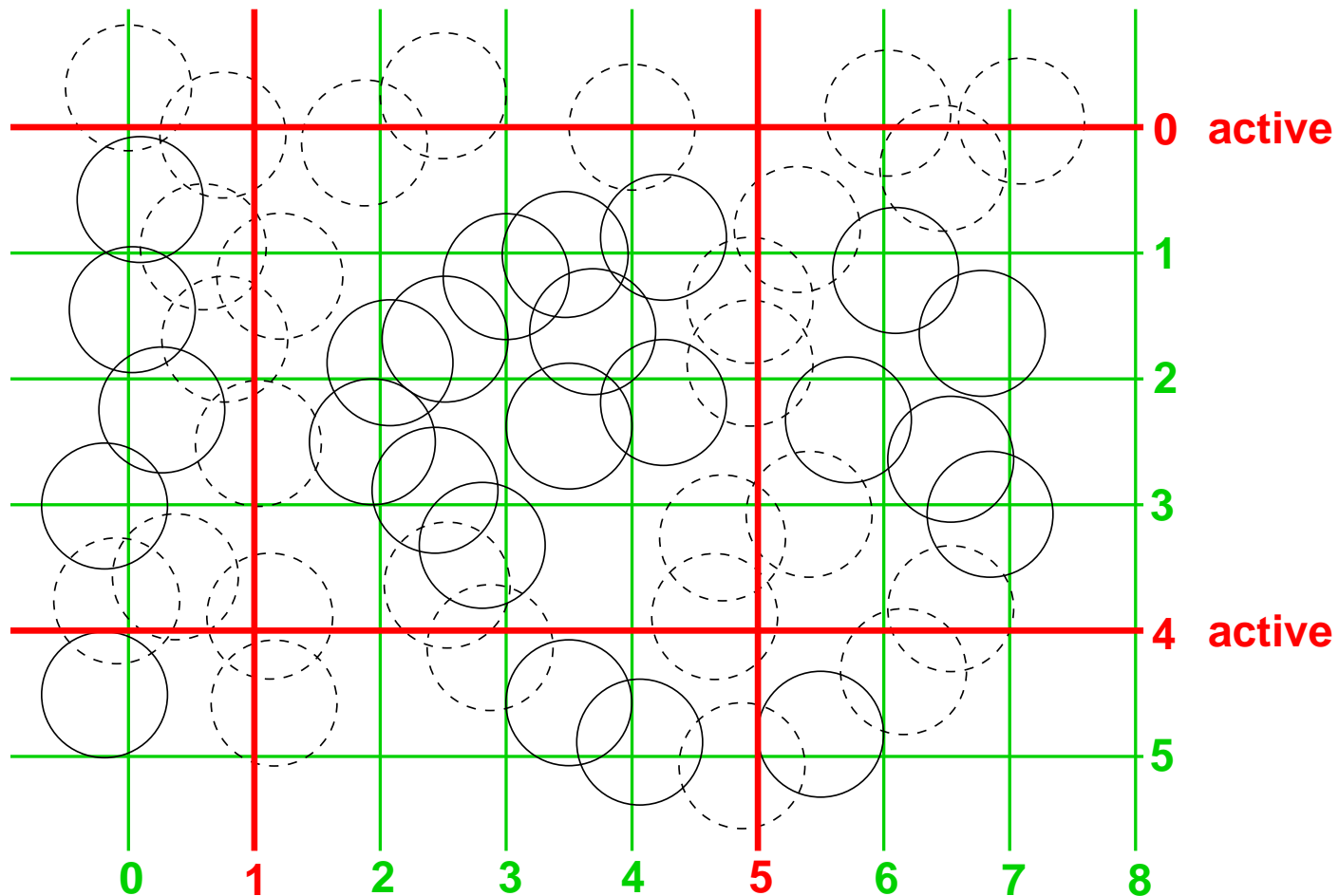


- 1 Partition graph into **slices**.
- 2 Let  $k > 0$  be a fixed integer.
- 3 **Remove slices equal to  $\ell$  modulo  $k$**  and compute a maximum independent set in the graph  $G(\ell)$ ,  $0 \leq \ell < k$ .
- 4 Output the largest set found in this way.

The largest of these sets contains at least  $(1 - \frac{1}{k})\text{OPT}$  vertices.

# Shifting for unit disk graphs

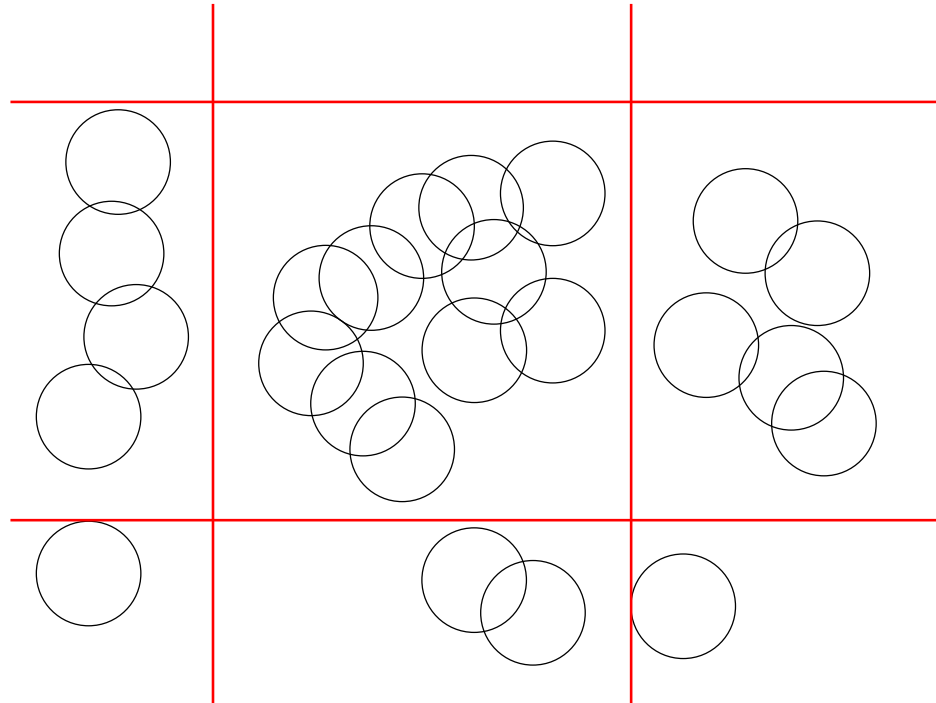
[Hochbaum and Maass, 1985]



Remove disks hitting **active lines** (and shift active lines).

# Solving the Subproblems

Active lines partition the plane into squares that can be considered independently:



↳ Compute maximum independent set  $I$  in each square by **brute-force enumeration**. Since  $|I| = O(k^2)$ , **time**  $n^{O(k^2)}$  suffices.

# PTAS for MIS in unit disk graphs

- 1 For  $0 \leq r, s < k$ , get  $\mathcal{D}(r, s)$  from  $\mathcal{D}$  by deleting disks that
  - hit a horizontal line equal to  $r$  modulo  $k$  or
  - hit a vertical line equal to  $s$  modulo  $k$ .
- 2 Compute the maximum independent set  $I_S$  in each  $k \times k$  square  $S$  of  $\mathcal{D}(r, s)$  by brute-force enumeration.
- 3 The union of the sets  $I_S$  gives a **maximum independent set in  $\mathcal{D}(r, s)$** .
- 4 **Output the largest independent set** obtained in this way.

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**Running-time:**  $n^{O(k^2)}$  for  $n$  disks. (Can be improved to  $n^{O(k)}$ .)

**Approximation:** Computed solution has size **at least**  $(1 - \frac{2}{k}) \text{OPT}$ .



# MIS in unit disk graphs: Summary

- ▶▶▶  $\mathcal{NP}$ -hard [Clark, Colbourn, Johnson 1990].
- ▶▶▶ GREEDY gives a 5-approximation.  
[Marathe et al., 1995]
- ▶▶▶ LEFTMOST-GREEDY gives a 3-approximation. There is a variant that does not need the representation.  
[Marathe et al., 1995]
- ▶▶▶ The shifting strategy gives a PTAS. It needs the representation.  
[Hochbaum and Maass, 1985; Hunt III et al., 1998]

# Recent related results

- [Nieberg, Hurink, Kern, 2004] PTAS for maximum weight independent set in unit disk graphs **without given representation**.
- [Marx, 2005] Maximum independent set in unit disk graphs is  $W[1]$ -hard. (⇒ No FPT algorithm and no EPTAS unless  $FPT=W[1]$ .)
- [van Leeuwen, 2005] Asymptotic FPTAS for maximum independent set (and various other problems) in unit disk graphs of bounded density.

# MIS in general disk graphs

- ❖ The approximation ratio of GREEDY is only  $|V| - 1$ .
- ❖ But it helps to process the disks in the right order:

## Algorithm SMALLEST-GREEDY

$I = \emptyset$ ;

**for** all given disks  $D$  **in order of increasing diameter do**

**if**  $D$  is disjoint from the disks in  $I$  **then**

$I = I \cup \{D\}$ ;

**return**  $I$ ;

# Analysis of SMALLEST-GREEDY

Again, charge disks in the optimal solution  $I^*$  to disks in the solution  $I$  computed by the algorithm.

- ↳ Every disk  $D$  in  $I$  receives charge only from disks in  $I^*$  that intersect  $D$  and were processed after  $D$ . There can be **at most five such disks**.

**SMALLEST-GREEDY is a 5-approximation algorithm.**

If the representation is not given: Find a vertex whose neighborhood does not contain an independent set of size 6, select it, and delete its neighbors.

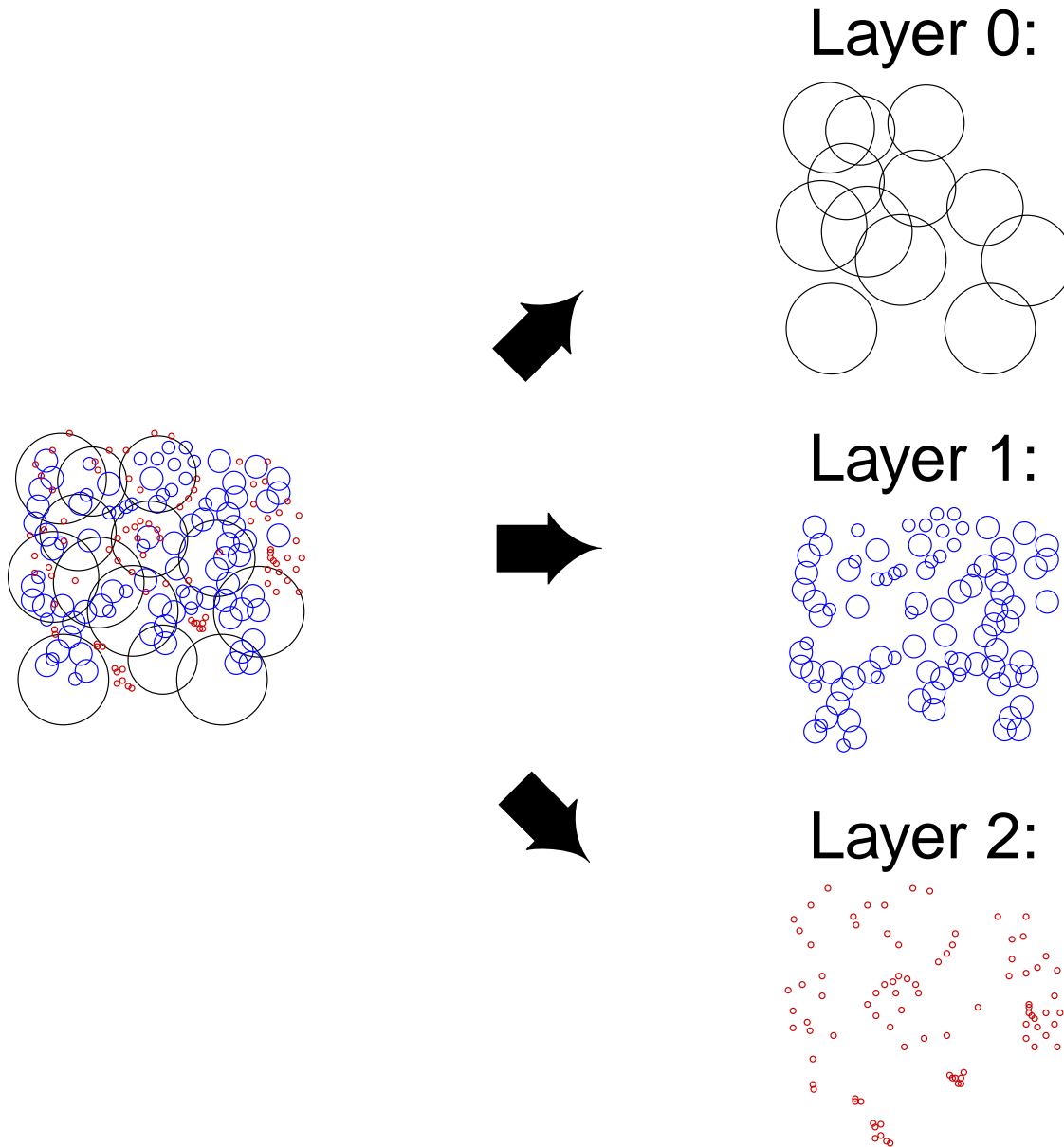
# Extending the shifting strategy

- ❶ Classify the disks into **layers** according to their sizes.
- ❷ Use the shifting strategy **on all layers simultaneously**.
- ❸ After removing all disks that hit active lines, use **dynamic programming** to compute a maximum independent set.

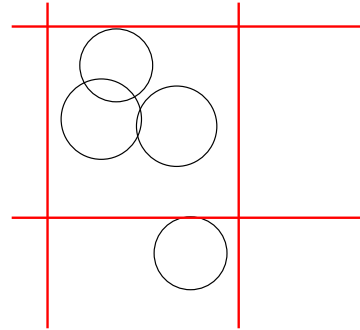
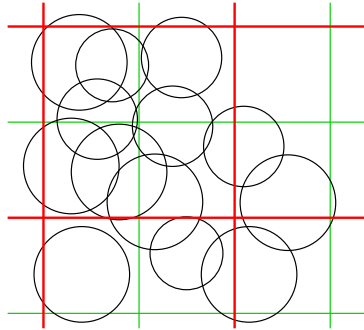
## Classification into layers:

- Assume that the largest disk has diameter 1.
- **Layer  $\ell$** : disks with diameter  $d$ ,  $\frac{1}{(k+1)^\ell} \geq d > \frac{1}{(k+1)^{\ell+1}}$ .
- Lines on layer  $\ell$  are  $\frac{1}{(k+1)^\ell}$  **apart**, every  $k$ -th line is **active**.

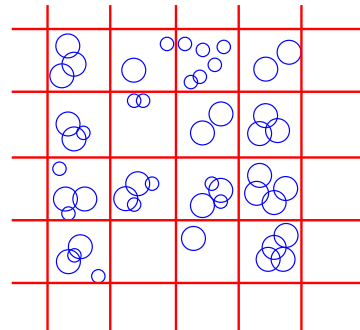
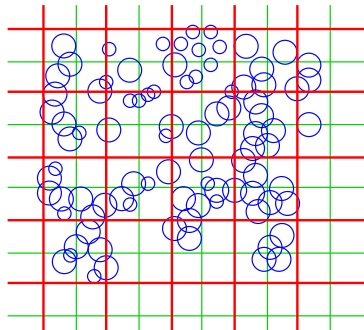
# Partition into layers



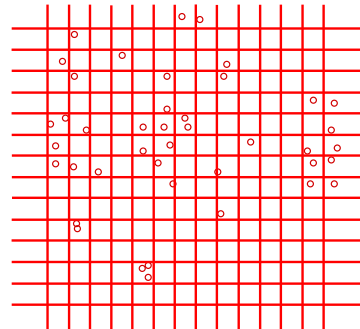
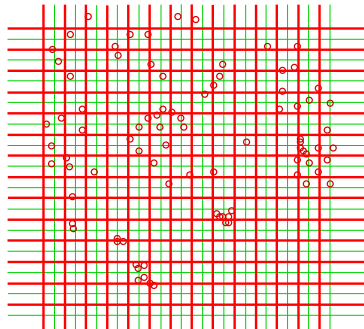
Layer 0:



Layer 1:



Layer 2:



# Dynamic programming table

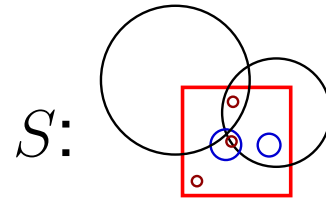
At square  $S$  on level  $\ell$ , compute  $\text{TABLE}_S$ .

If  $I$  is an independent set of disks of level  $< \ell$  intersecting  $S$ , then

$$\text{TABLE}_S[I] = \begin{cases} \text{size of maximum independent set } I' \\ \text{of disks of level } \geq \ell \text{ in } S \text{ such that} \\ I \cup I' \text{ is an independent set.} \end{cases}$$



# Example



$$\text{TABLE}_S \left[ \begin{array}{c} \square \end{array} \right] = 4 \quad (\text{note } \begin{array}{c} \square \\ \circ \circ \\ \circ \end{array} )$$

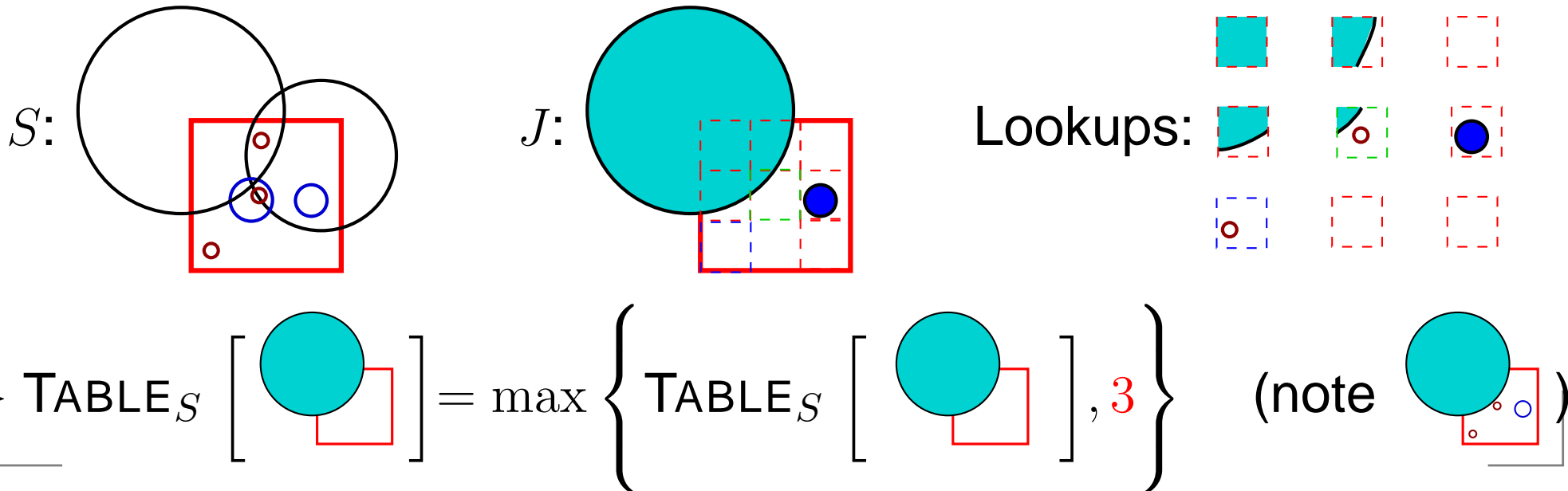
$$\text{TABLE}_S \left[ \begin{array}{c} \bigcirc \\ \square \end{array} \right] = 3 \quad (\text{note } \begin{array}{c} \bigcirc \\ \square \\ \circ \circ \end{array} )$$

$$\text{TABLE}_S \left[ \begin{array}{c} \square \\ \bigcirc \end{array} \right] = 1 \quad (\text{note } \begin{array}{c} \square \\ \bigcirc \\ \circ \end{array} )$$

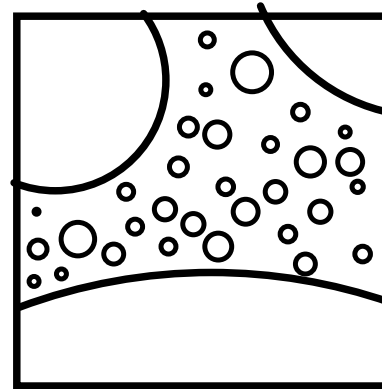
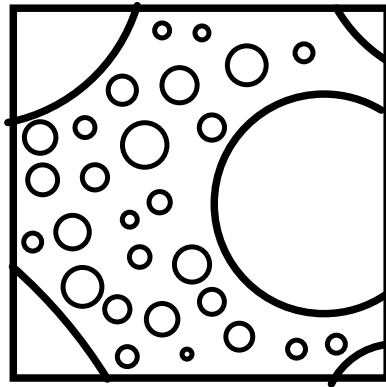
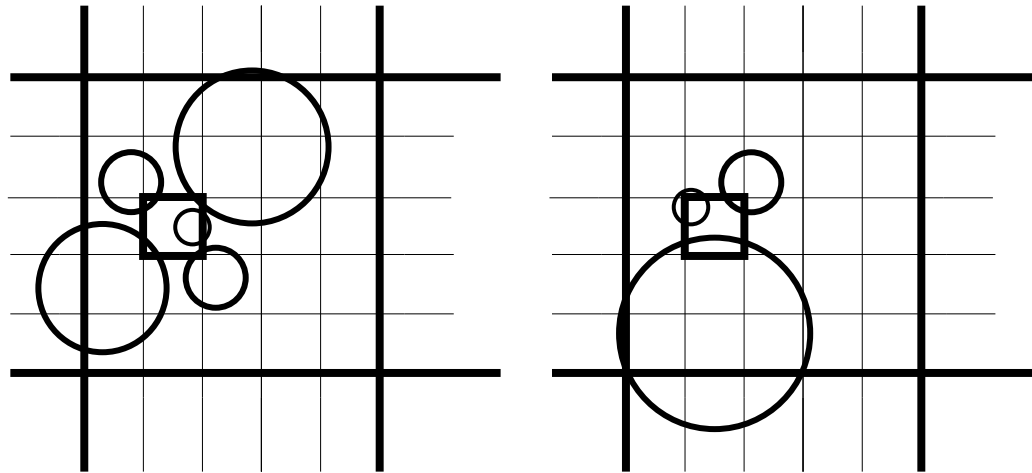
# Computing $TABLE_S$

1. **Enumerate** all  $n^{O(k^4)}$  independent sets  $J$  of disks of level  $\leq \ell$  touching  $S$ .
2. **Look up** corresponding entries of  $TABLE_{S'}$  for subsquares of  $S$ .
3. Update  $TABLE_S[I]$  for  $I = \{D \in J \mid D \text{ has level} < \ell\}$ .

## Example:



# Two more examples for lookups



# The PTAS for MIS

- ① For  $0 \leq r, s < k$ , get  $\mathcal{D}(r, s)$  from  $\mathcal{D}$  by deleting disks that
  - hit a horizontal line equal to  $r$  modulo  $k$  on their level, or
  - hit a vertical line equal to  $s$  modulo  $k$  on their level
- ② Compute **dynamic programming tables** for  $\mathcal{D}(r, s)$  in all squares.
- ③ The union of  $\text{TABLE}_S[\emptyset]$  over all top-level squares gives a **maximum independent set in  $\mathcal{D}(r, s)$** .
- ④ **Output the largest independent set** obtained in this way.

---

**Running-time:**  $n^{O(k^4)}$  for  $n$  disks. (Can be improved to  $n^{O(k^2)}$ .)

**Approximation:** Computed solution has size **at least**  
 $(1 - \frac{2}{k}) \text{OPT}$ .

# MIS in disk graphs: Summary

- ▶▶▶▶ SMALLEST-GREEDY is a 5-approximation algorithm. There is a variant that does not need the representation.  
[Marathe et al., 1995]
- ▶▶▶▶ The shifting strategy combined with dynamic programming gives a PTAS. It needs the representation.  
[E, Jansen, Seidel'01:  $n^{O(k^2)}$ ; Chan'01:  $n^{O(k)}$ ]

**Note:** These results can be adapted to **squares, regular polygons and other “disk-like” or fat objects**, also in **higher dimensions**. The PTAS works also for the **weighted version**.

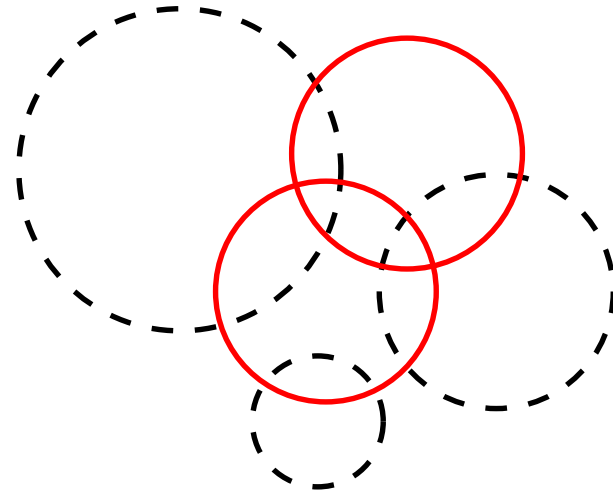
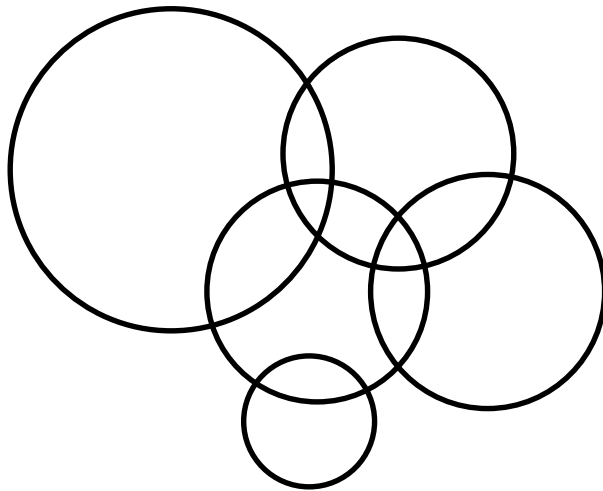
# Minimum Vertex Cover

# The problem MINVERTEXCOVER

**Input:** a set  $\mathcal{D}$  of disks in the plane

**Feasible solution:** subset  $C \subseteq \mathcal{D}$  of disks such that, for any  $D_1, D_2 \in \mathcal{D}$ ,  $D_1 \cap D_2 \neq \emptyset \Rightarrow D_1 \in C$  or  $D_2 \in C$ .

**Goal:** minimize  $|C|$



# Approximating MINVERTEXCOVER

An algorithm for MINVERTEXCOVER is a  $\rho$ -approximation algorithm if it

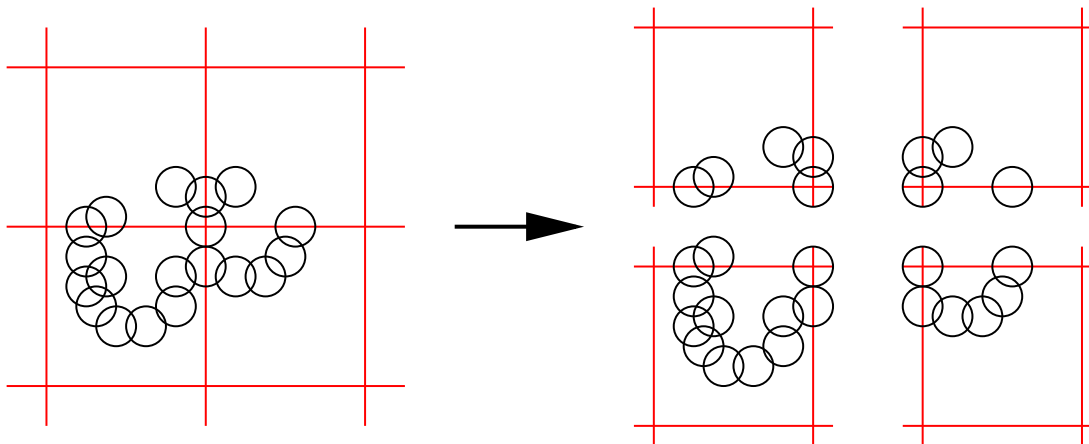
- runs in **polynomial time** and
- always outputs a vertex cover of **size at most  $\rho \cdot \text{OPT}$** , where OPT is the size of the optimal vertex cover.

A **polynomial-time approximation scheme (PTAS)** is a family of  $(1 + \varepsilon)$ -approximation algorithms for every constant  $\varepsilon > 0$ .



# PTAS idea for MINVERTEXCOVER

- **Fact:**  $I$  is an independent set  $\Leftrightarrow \mathcal{D} \setminus I$  is a vertex cover
- To approximate MINVERTEXCOVER in unit disk graphs, we can again use the **shifting strategy**.
- Disks that hit an active line are considered in **all squares that they intersect** (at most 4 squares).



# PTAS: MINVERTEXCOVER in unit disk graphs

- 1 For  $0 \leq r, s < k$ , partition the plane into squares via
  - horizontal lines equal to  $r \bmod k$  and
  - vertical lines equal to  $s \bmod k$ .
- 2 Compute the minimum vertex cover  $C_S$  among the disks intersecting each  $k \times k$  square  $S$  by computing a maximum independent set and taking the complement.
- 3 The union of the sets  $C_S$  gives a **candidate vertex cover** (for each  $(r,s)$ ).
- 4 **Output the smallest vertex cover** obtained in this way.

---

**Running-time:**  $n^{O(k^2)}$  for  $n$  disks. (Can be improved to  $n^{O(k)}$ .)

# Analysis of PTAS for MINVERTEXCOVER

- ▶ Let  $C^*$  be an optimum vertex cover.
- ▶ For  $0 \leq r, s < k$  let  $C^*(r, s)$  be the disks intersecting active lines for  $(r, s)$  and let  $\mathcal{S}(r, s)$  be the set of all  $k \times k$  squares determined by these active lines.
- ▶ For a  $k \times k$ -square  $S$ , let  $C_S^*$  be the disks in  $C^*$  intersecting  $S$  and let  $\text{OPT}(S)$  be the optimum vertex cover of the disks intersecting  $S$ .

Candidate vertex cover computed by the algorithm for  $(r, s)$  has size

$$\begin{aligned} \left| \bigcup_{S \in \mathcal{S}(r, s)} \text{OPT}(S) \right| &\leq \sum_{S \in \mathcal{S}(r, s)} |\text{OPT}(S)| \\ &\leq \sum_{S \in \mathcal{S}(r, s)} |C^*(S)| \\ &\leq 3|C^*(r, s)| + |C^*| \end{aligned}$$

For some choice of  $(r, s)$ :

$\Rightarrow$  at most  $\frac{1}{k}|C^*|$  disks of  $C^*$  intersect vertical active lines

$\Rightarrow$  at most  $\frac{1}{k}|C^*|$  disks of  $C^*$  intersect horizontal active lines

For this choice, we have  $|C^*(r, s)| \leq \frac{2}{k}|C^*|$ .

$\rightarrow$  Solution has size **at most**  $(1 + \frac{6}{k})|C^*|$  for some choice of  $(r, s)$

# MINVC in disk graphs: Summary

- ▶▶▶ PTAS for **unit disk graphs** using the shifting strategy (needs the representation). [Hunt III et al., 1994]
- ▶▶▶  $\frac{3}{2}$ -approximation algorithm for **general disk graphs** (not needing the representation). [Malesińska, 1997]
- ▶▶▶ PTAS for **general disk graphs** using the shifting strategy and dynamic programming (needs the representation).  
[E, Jansen, Seidel'01]

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**Note:** PTAS adapts to **squares, regular polygons etc.**, also in **higher dimensions**. Result holds for the **weighted version** as well.

# Vertex Coloring

# Coloring disk graphs

**Goal:** Assign a minimum number of colors to the disks such that intersecting disks get different colors!

**Algorithm SMALLEST-DEGREE-LAST(graph  $G$ )**  
 $v =$  a vertex with minimum degree in  $G$ ;  
color  $G \setminus \{v\}$  recursively;  
assign  $v$  the smallest available color;

**Observation.** Let  $D$  be the maximum degree of a vertex  $v$  at the time it was colored. Then the algorithm needs at most  $D + 1$  colors.

# Analysis for disk graphs

Let  $v$  be the vertex corresponding to the smallest disk.  
Let  $N(v)$  be the set of neighbors of  $v$ .

**Note:** At most 5 disks in  $N(v)$  can get the same color.

↳ Optimal number of colors OPT is at least  $1 + \frac{|N(v)|}{5}$ .

↳  $|N(v)| \leq 5 \cdot \text{OPT} - 5$ .

↳ So we must also have  $D \leq 5\text{OPT} - 5$ .

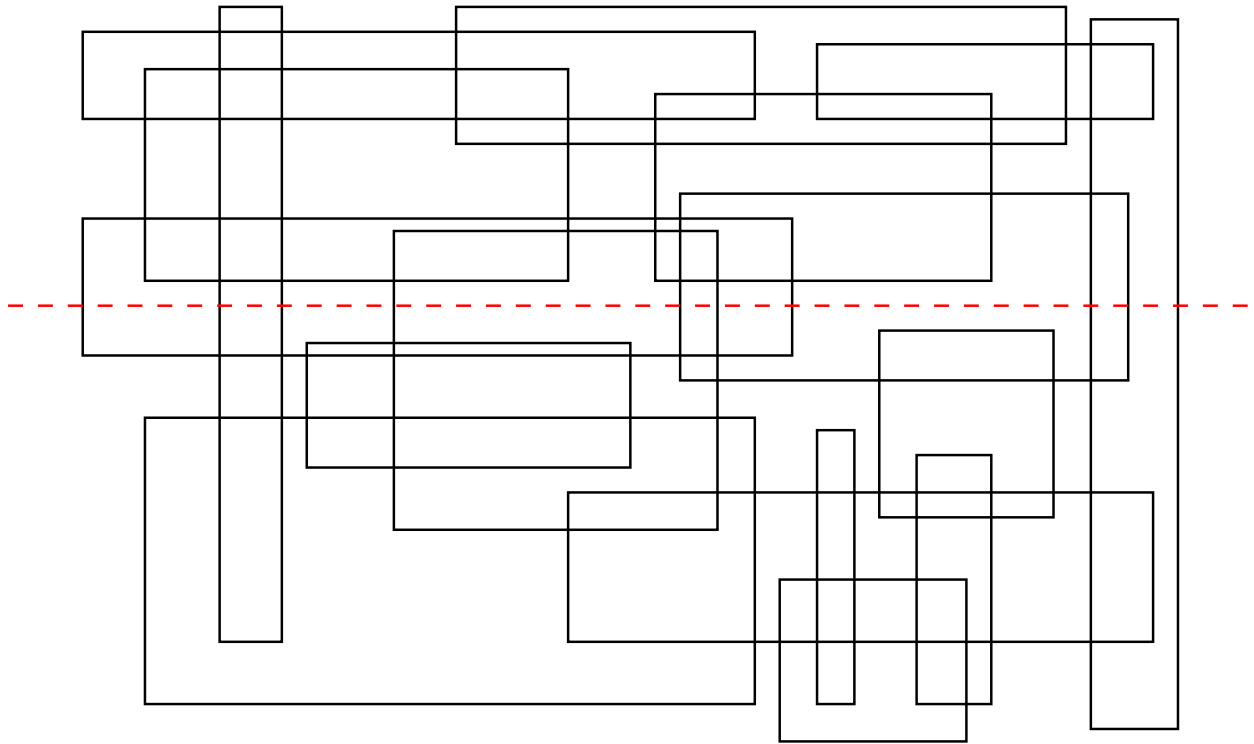
**The SMALLEST-DEGREE-LAST algorithm colors any disk graph with at most  $5\text{OPT} - 4$  colors.** [Marathe et al. 1995; Gräf 1995]



# Rectangle Intersection Graphs

# MIS in Rectangle Graphs

★ **Idea:** find a “stabbing line” with at most half of the rectangles above and below.



# Approximation algorithm for rectangles

**Algorithm RECTANGLE-APPROX(set of rectangles  $R$ )**

$\ell$  = stabbing line with at most  $|R|/2$  rectangles above and below;

$R_{\text{above}}$  = rectangles above stabbing line;

$R_{\text{below}}$  = rectangles below stabbing line;

$R_{\text{mid}}$  = rectangles intersecting stabbing line;

compute approximations  $I_1$  and  $I_2$  for  $R_{\text{above}}$  and  $R_{\text{below}}$  recursively;

compute optimal independent set  $I_0$  for  $R_{\text{mid}}$ ;

**return** the larger of  $I_0$  and  $I_1 \cup I_2$ ;

# Analysis of RECTANGLE-APPROX

**Theorem** The algorithm achieves approximation ratio  $\log n$  for  $n$  rectangles.

**Proof.** by induction on the number of rectangles.

Let  $I^*$  be an optimal independent set.

Let  $I_0^*$ ,  $I_1^*$ ,  $I_2^*$  be the rectangles in  $I^*$  that are on, above, below  $\ell$ .

**Case 1:**  $|I_0^*|$  is at least  $|I^*| / \log n$ .

Algorithm outputs a set of size at least

$$|I_0| \geq |I_0^*| \geq \frac{|I^*|}{\log n}.$$

**Case 2:**  $|I_0^*|$  is smaller than  $|I^*|/\log n$ .

The algorithm outputs a set of size at least

$$\begin{aligned} |I_1 \cup I_2| &\geq \frac{\text{OPT}(R_{\text{above}})}{\log |R_{\text{above}}|} + \frac{\text{OPT}(R_{\text{below}})}{\log |R_{\text{below}}|} \\ &\geq \frac{\text{OPT}(R_{\text{above}})}{(\log n) - 1} + \frac{\text{OPT}(R_{\text{below}})}{(\log n) - 1} \\ &\geq \frac{|I_1^*| + |I_2^*|}{(\log n) - 1} = \frac{|I^*| - |I_0^*|}{(\log n) - 1} \\ &\geq \frac{|I^*| \cdot \left(1 - \frac{1}{\log n}\right)}{(\log n) - 1} = \frac{|I^*|}{\log n} \end{aligned}$$

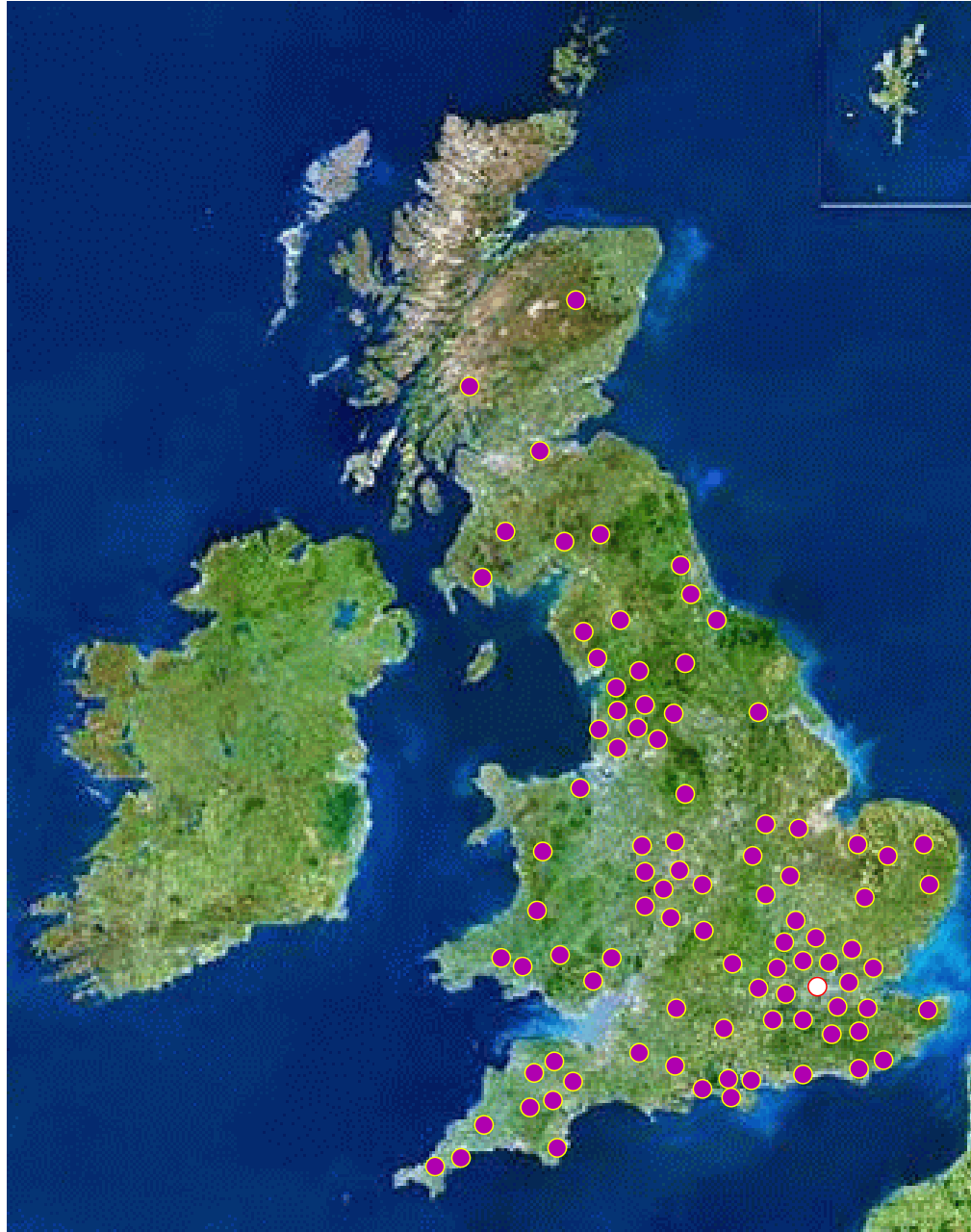
□

# MIS in rectangle graphs: Summary

- ▣▣▣▣▣ There is an  $O(\log n)$ -approximation algorithm (with given representation).  
[Agarwal et al., 1998; Khanna et al. 1998; Nielsen 2000]
- ▣▣▣▣▣ For every constant  $c > 0$ , there is an approximation algorithm with ratio  $1 + \frac{1}{c} \log n$ .  
[Berman et al., 2001]
- ▣▣▣▣▣ If all rectangles have the same height, there is a PTAS.  
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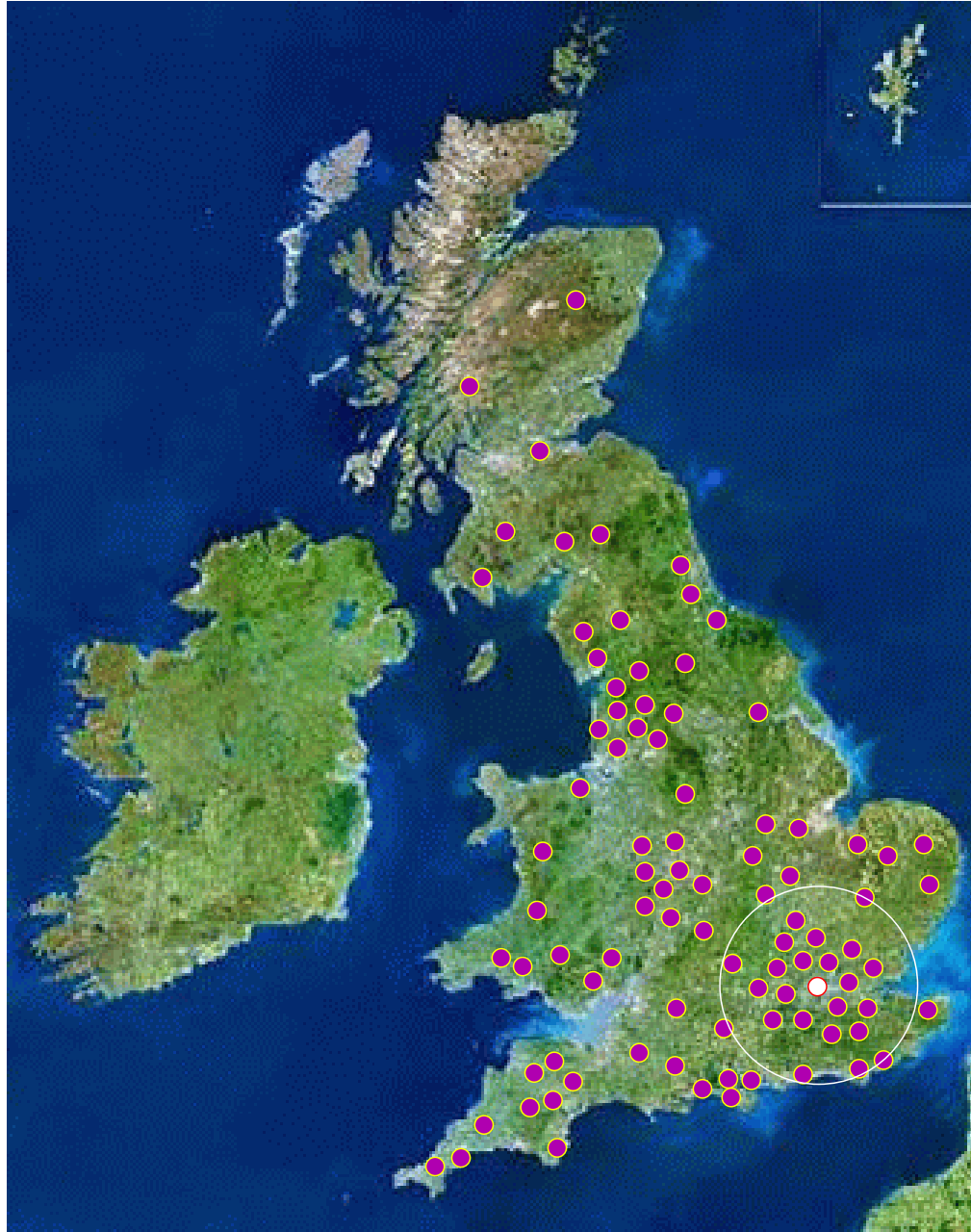
# Minimum Dominating Set

# Flooding an Ad-Hoc Network

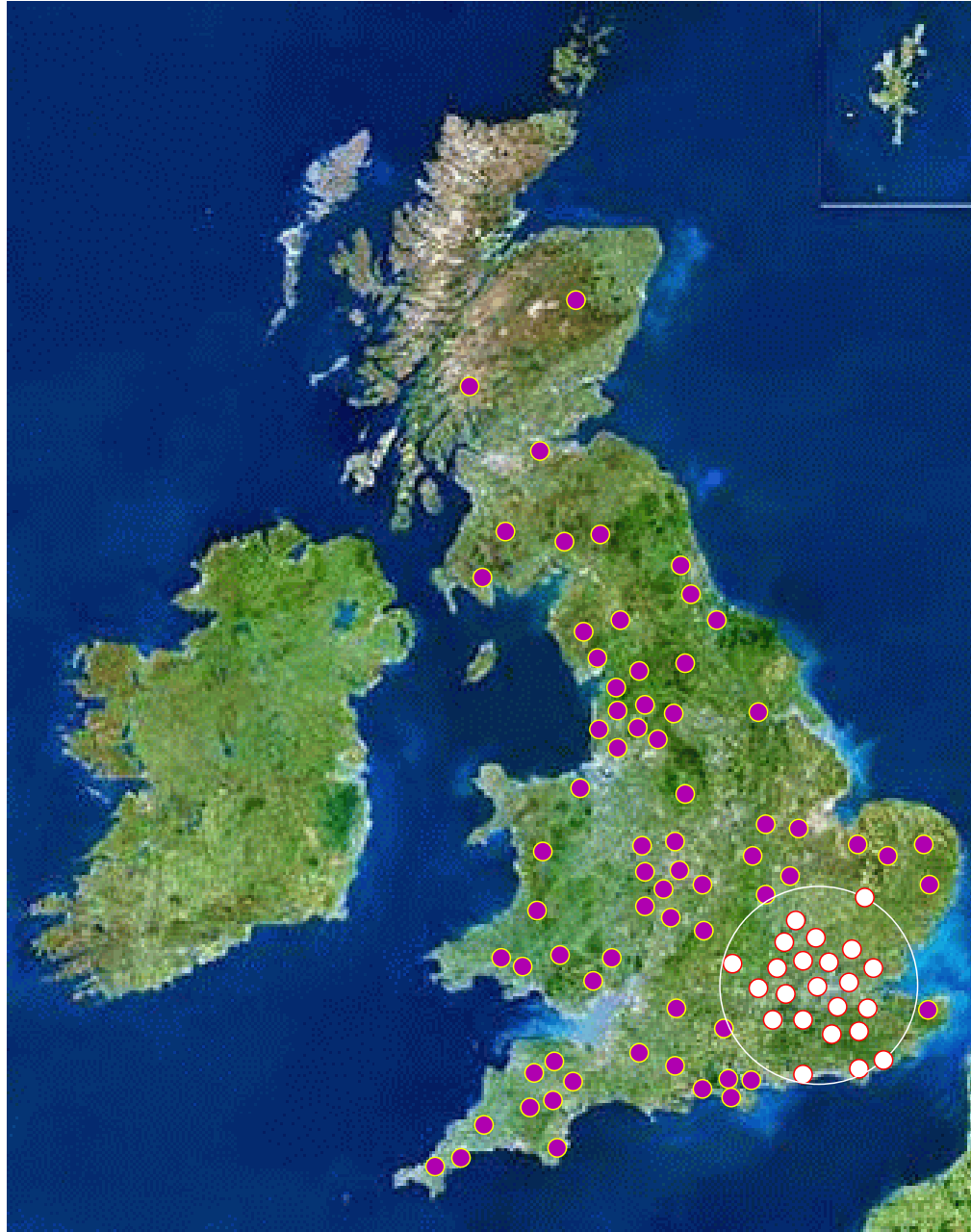




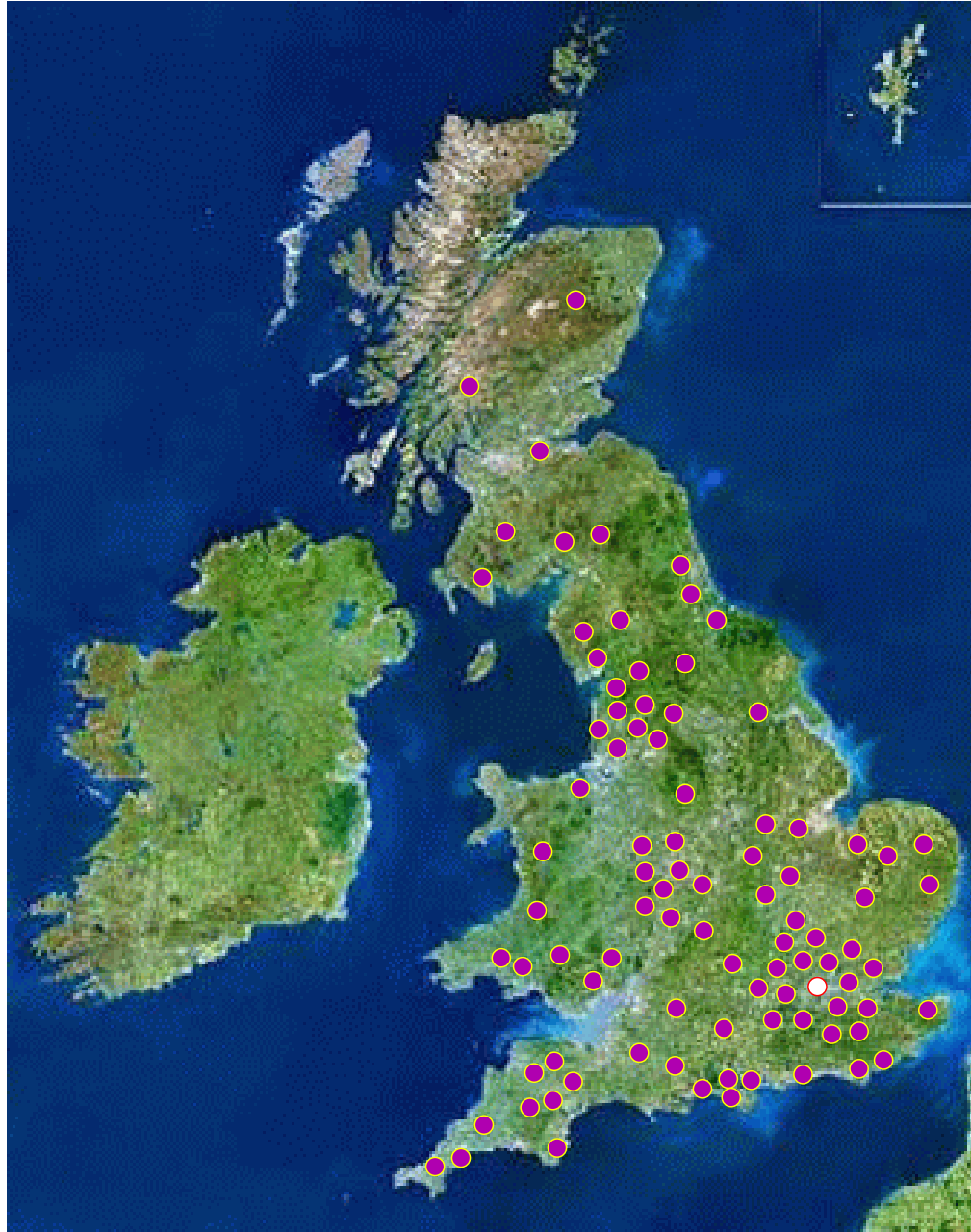
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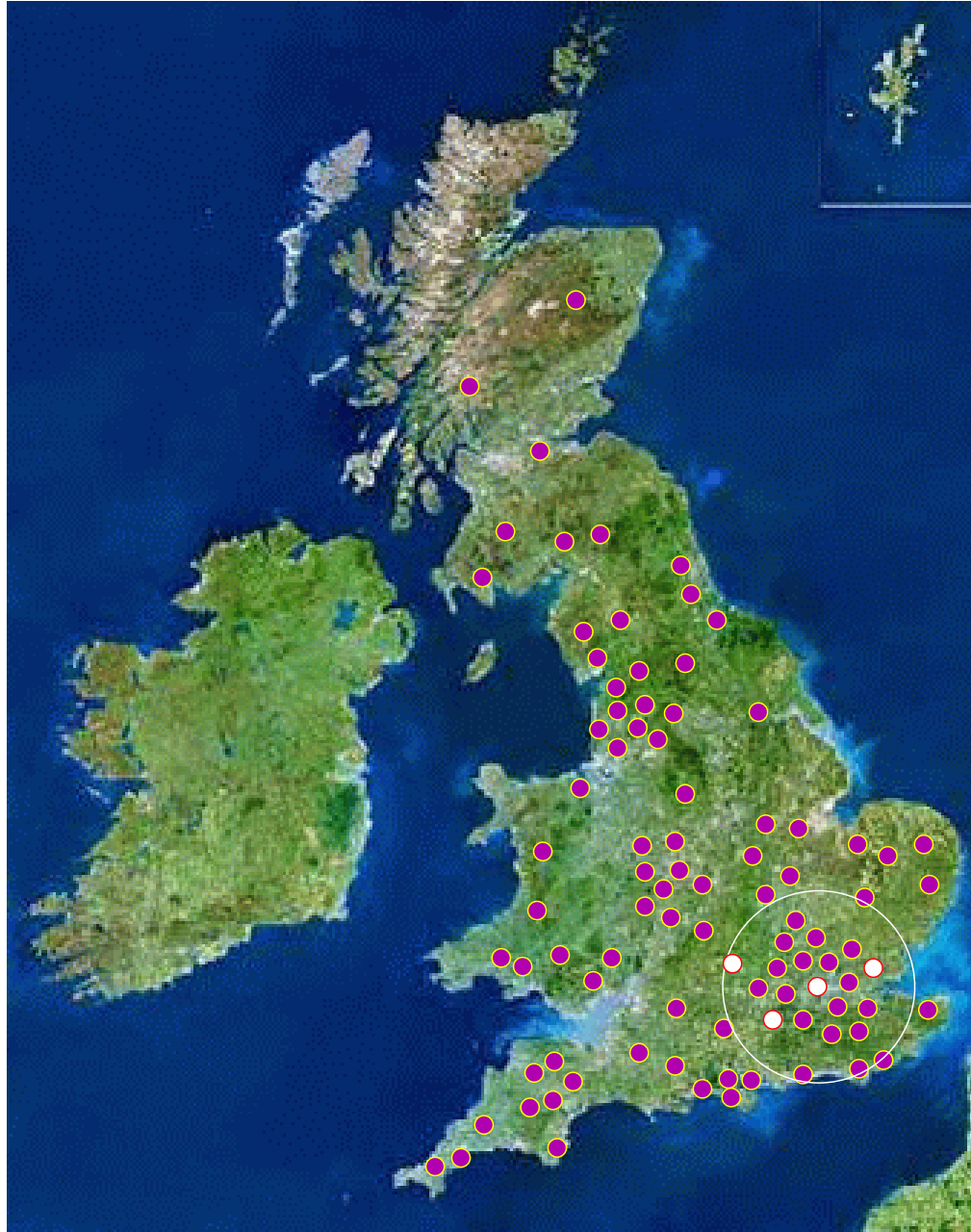
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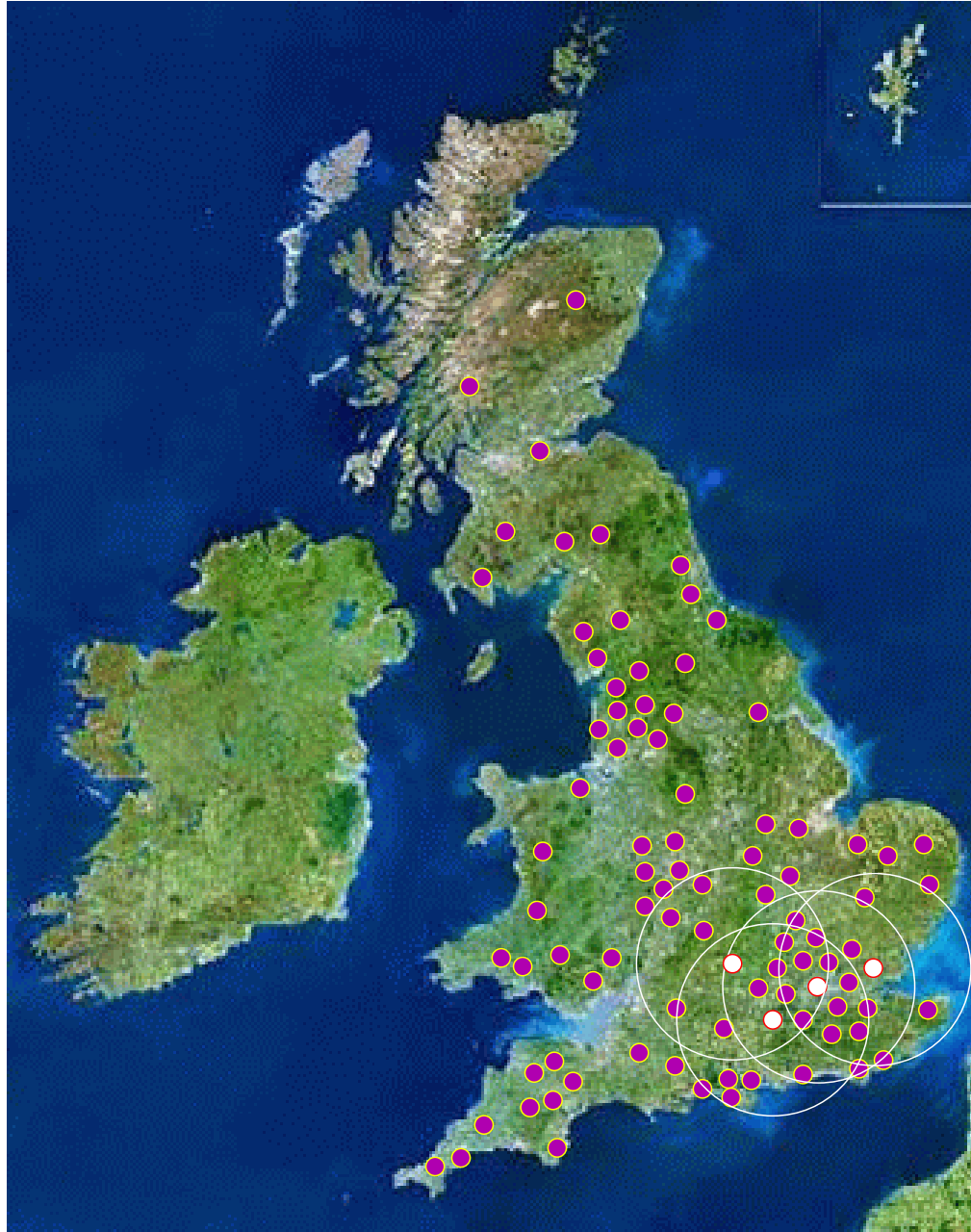
# Efficient Flooding



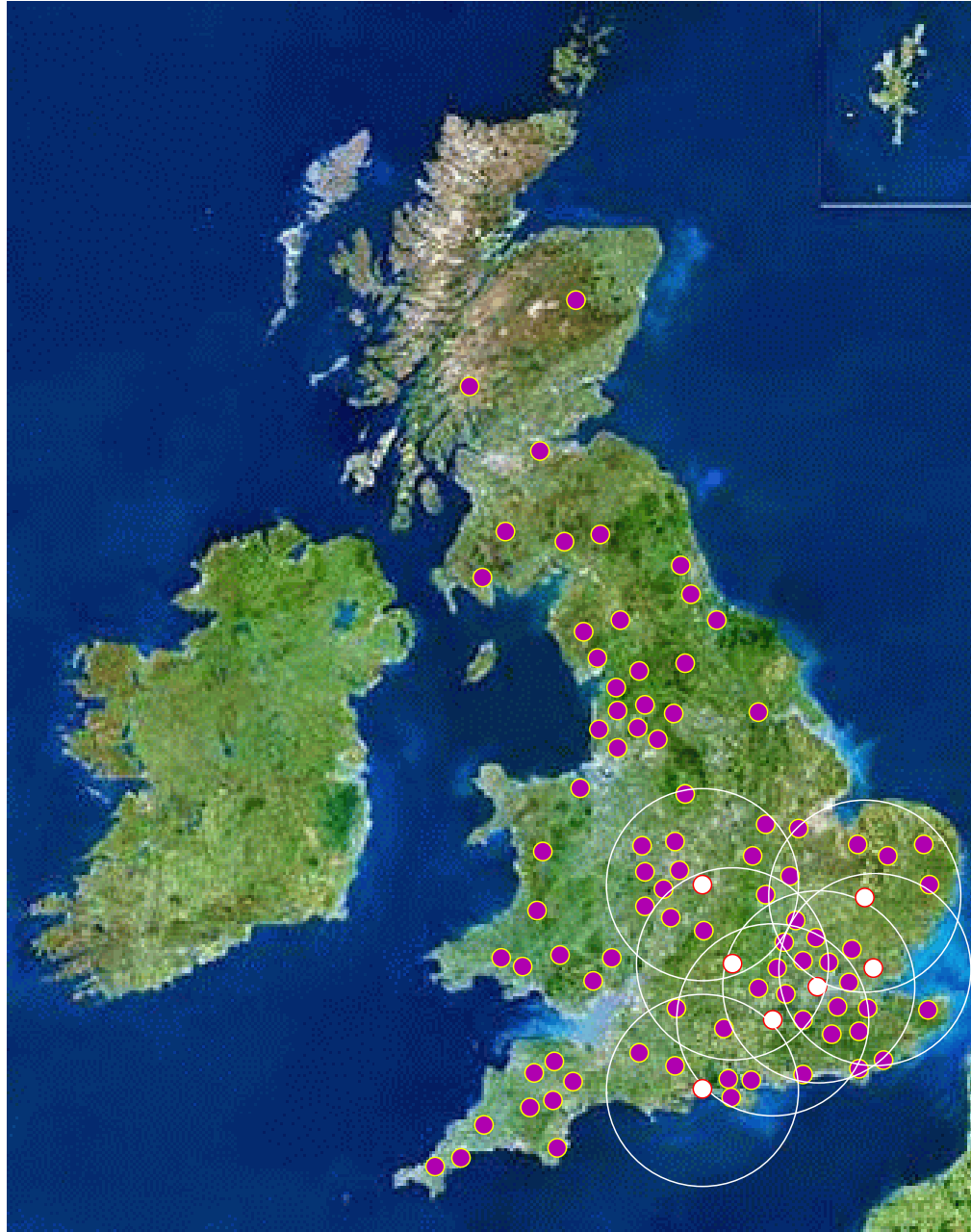
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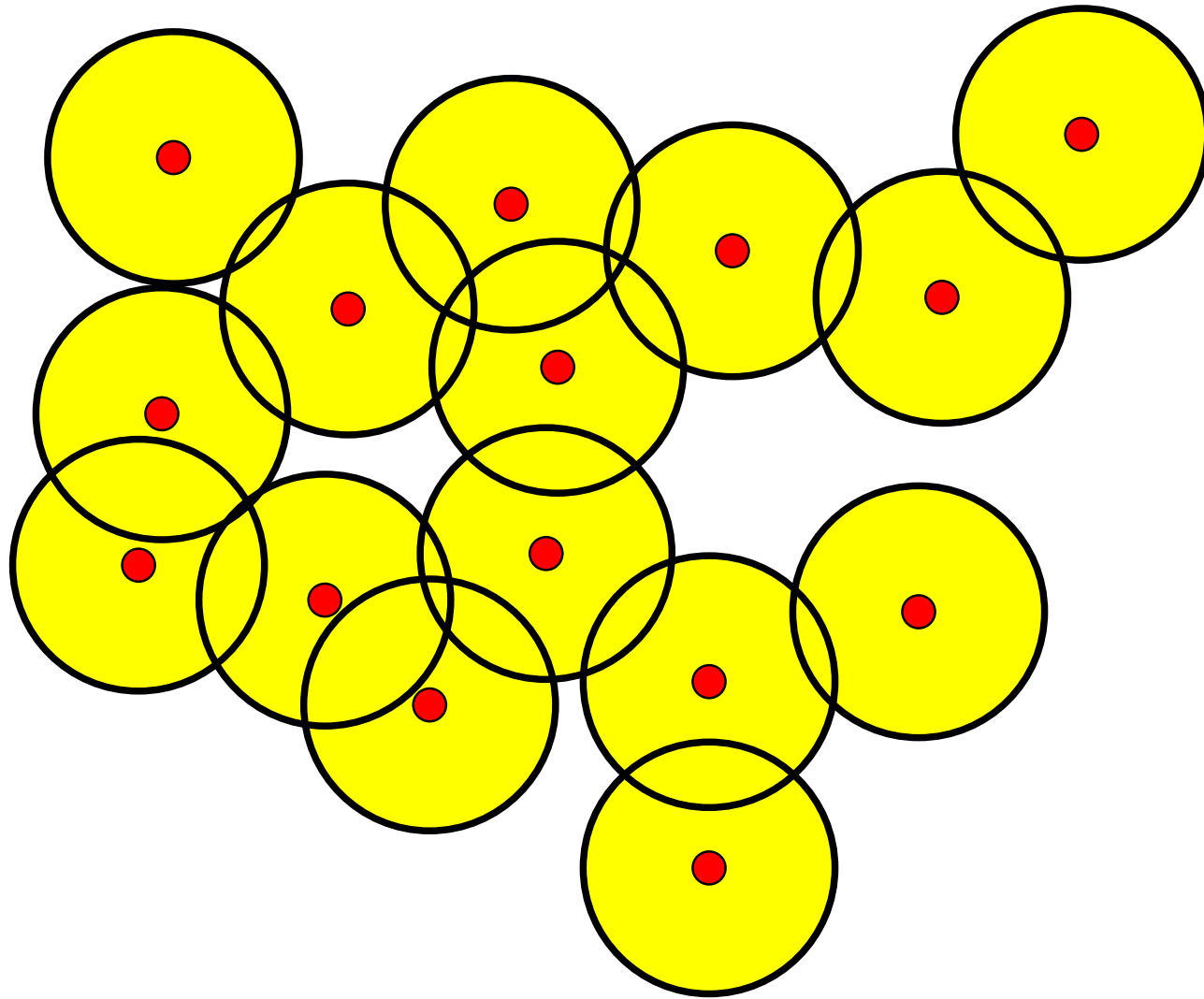


# Routing Backbone

- For efficient flooding, we want to find a small subset of the nodes that can reach all other nodes. That subset is then the **routing backbone**. [Guha and Khuller, 1999]
- We can model the network as a graph.
  - Simple model: **Unit Disk Graph**  
Two nodes can reach each other if their distance is at most  $d$ , for some fixed value  $d$ .  
  
Each node corresponds to a unit disk, and there is an edge between two nodes if the disks intersect.
- The problem of identifying a small routing backbone then becomes the minimum (connected) dominating set problem in unit disk graphs.



# Unit Disk Graph

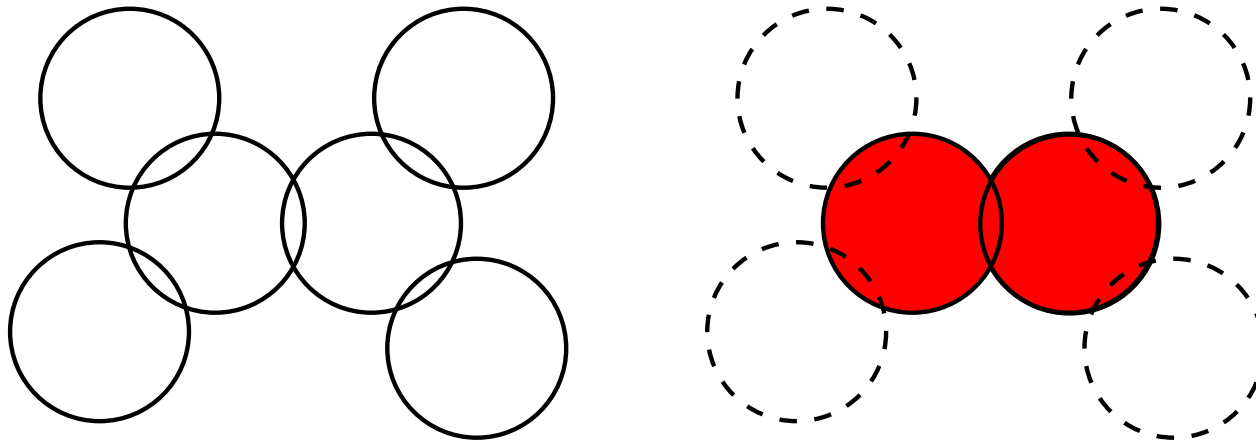


# Minimum Dominating Set (MDS)

**Input:** a set  $\mathcal{D}$  of unit disks in the plane

**Feasible solution:** subset  $A \subseteq \mathcal{D}$  that dominates all disks

**Goal:** minimize  $|A|$

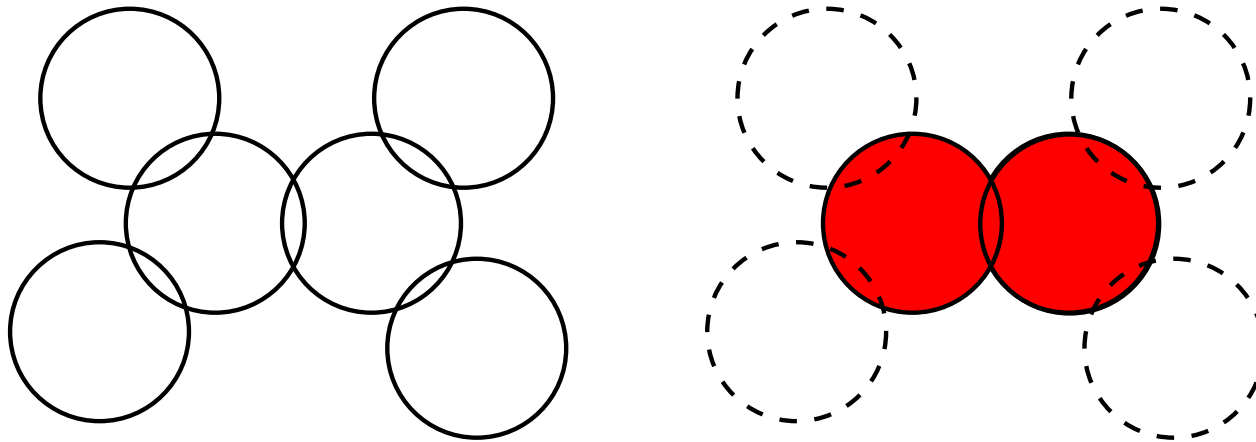


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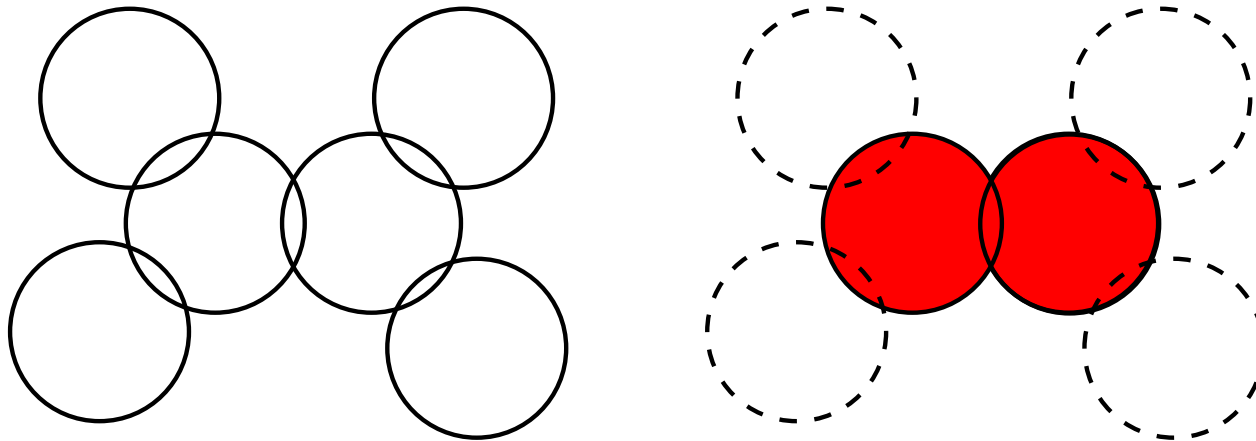
In the **weighted** case (MWDS), each disk is associated with a positive weight.

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In the **weighted** case (MWDS), each disk is associated with a positive weight.

For **Minimum (Weight) Connected Dominating Set** (MCDS/MWCDS), the dominating set must induce a connected subgraph.

# Approximation Algorithms

An algorithm for MWDS is a  $\rho$ -approximation algorithm if it runs in polynomial time and always outputs a solution of weight at most  $\rho \cdot \text{OPT}$ , where  $\text{OPT}$  is the weight of an optimal solution.

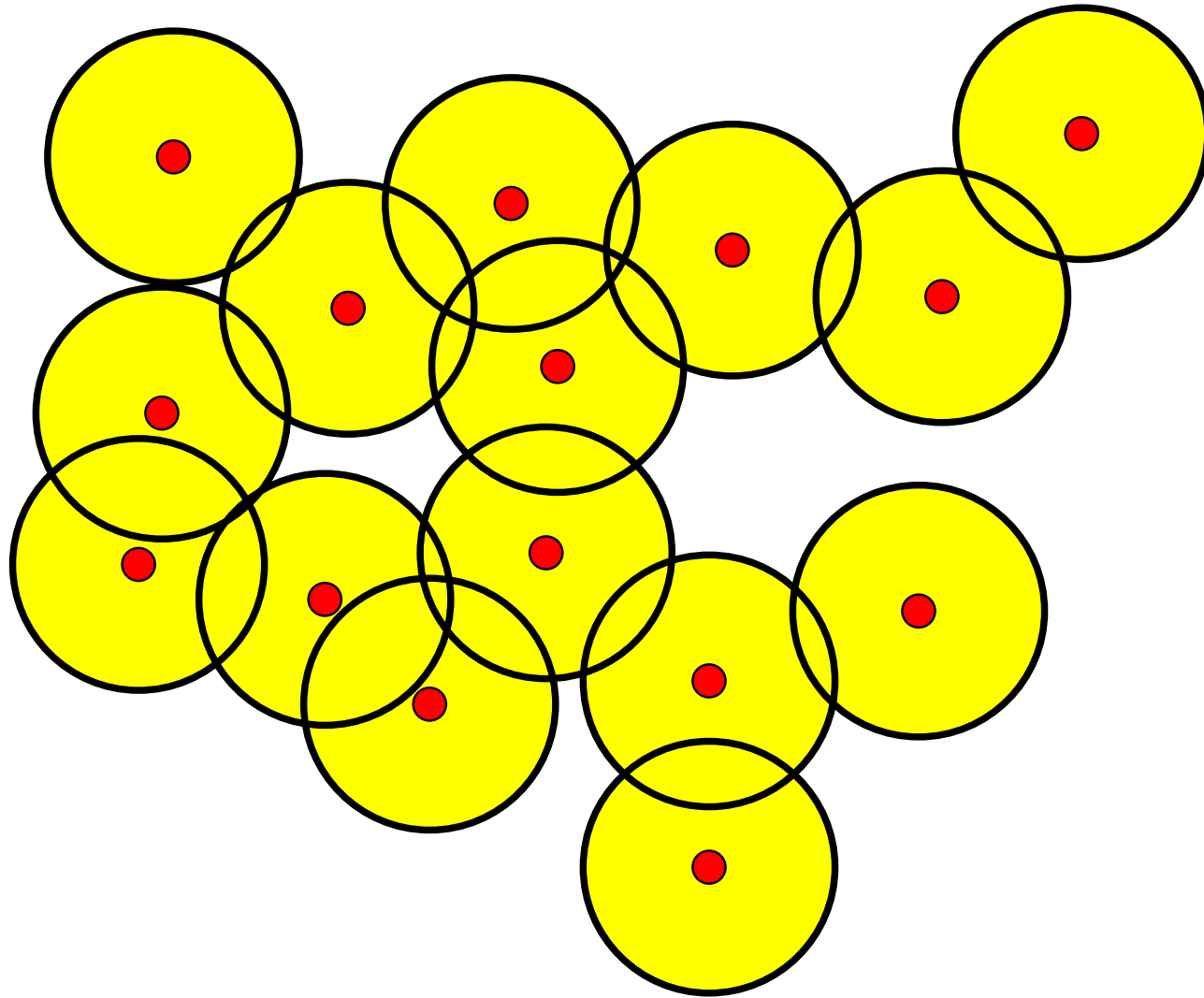
A polynomial-time approximation scheme (PTAS) is a family of algorithms containing a  $(1 + \varepsilon)$ -approximation algorithm for every fixed  $\varepsilon > 0$ .

**Remark:** In practice, we are interested in distributed algorithms with fast running-time and good performance in realistic scenarios.

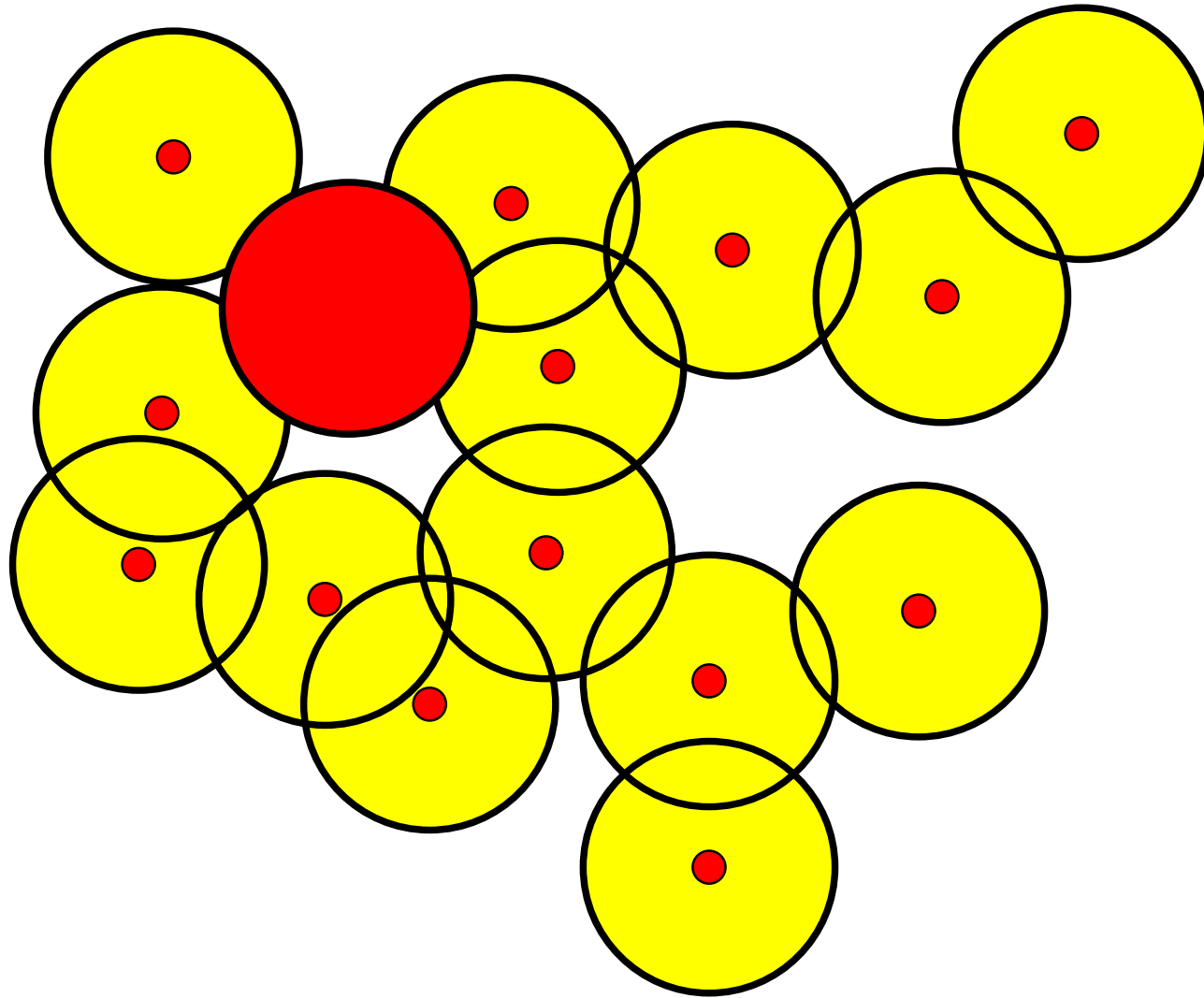
# A simple algorithm for MDS

- Initialise  $\mathcal{U}$  as the empty set.
- Repeat until no disk left:
  - pick an arbitrary disk  $D$
  - insert  $D$  into the set  $\mathcal{U}$
  - delete the disk  $D$  and all its neighbours from the instance
- Output the set  $\mathcal{U}$  as dominating set

# Example run

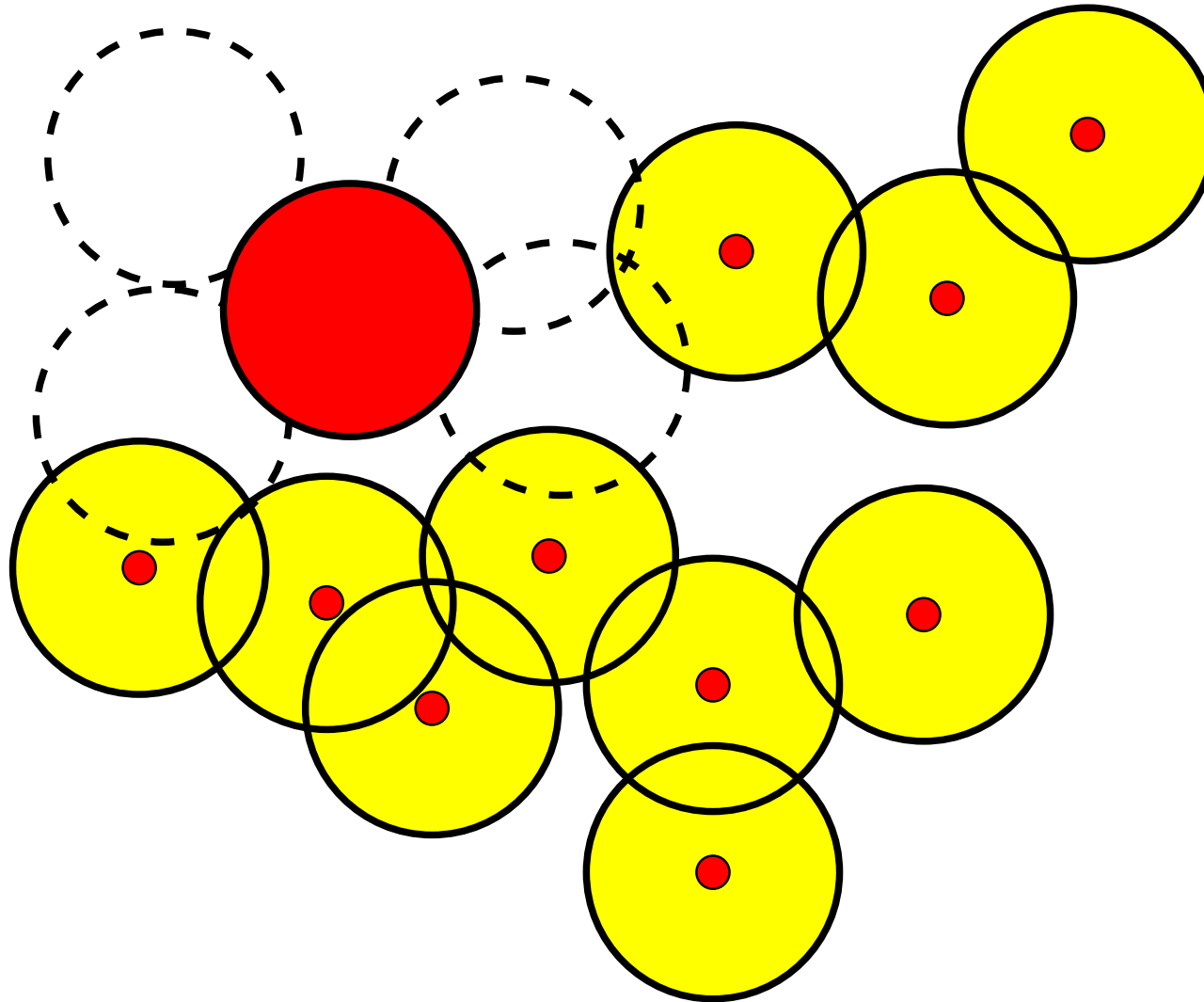


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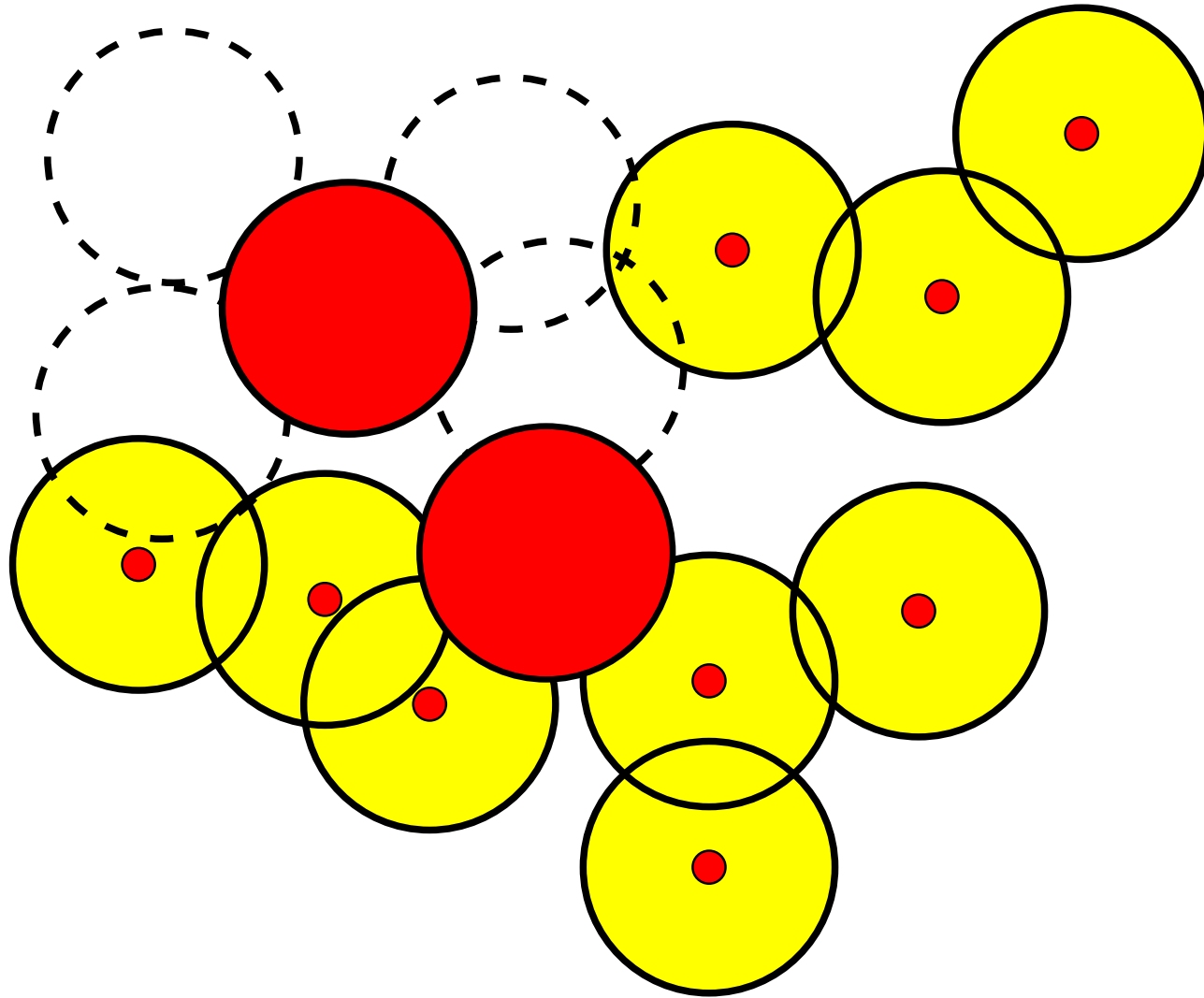




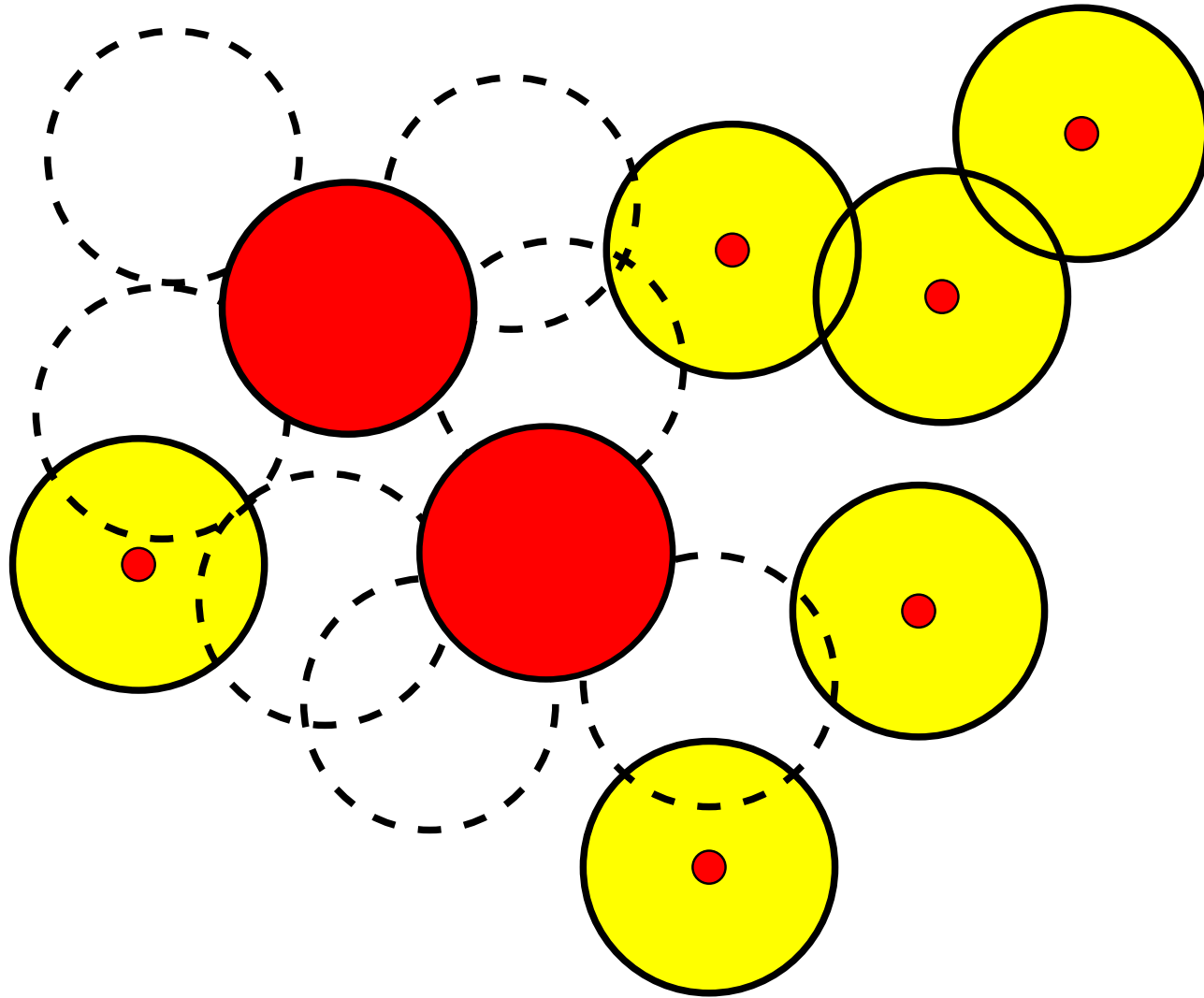
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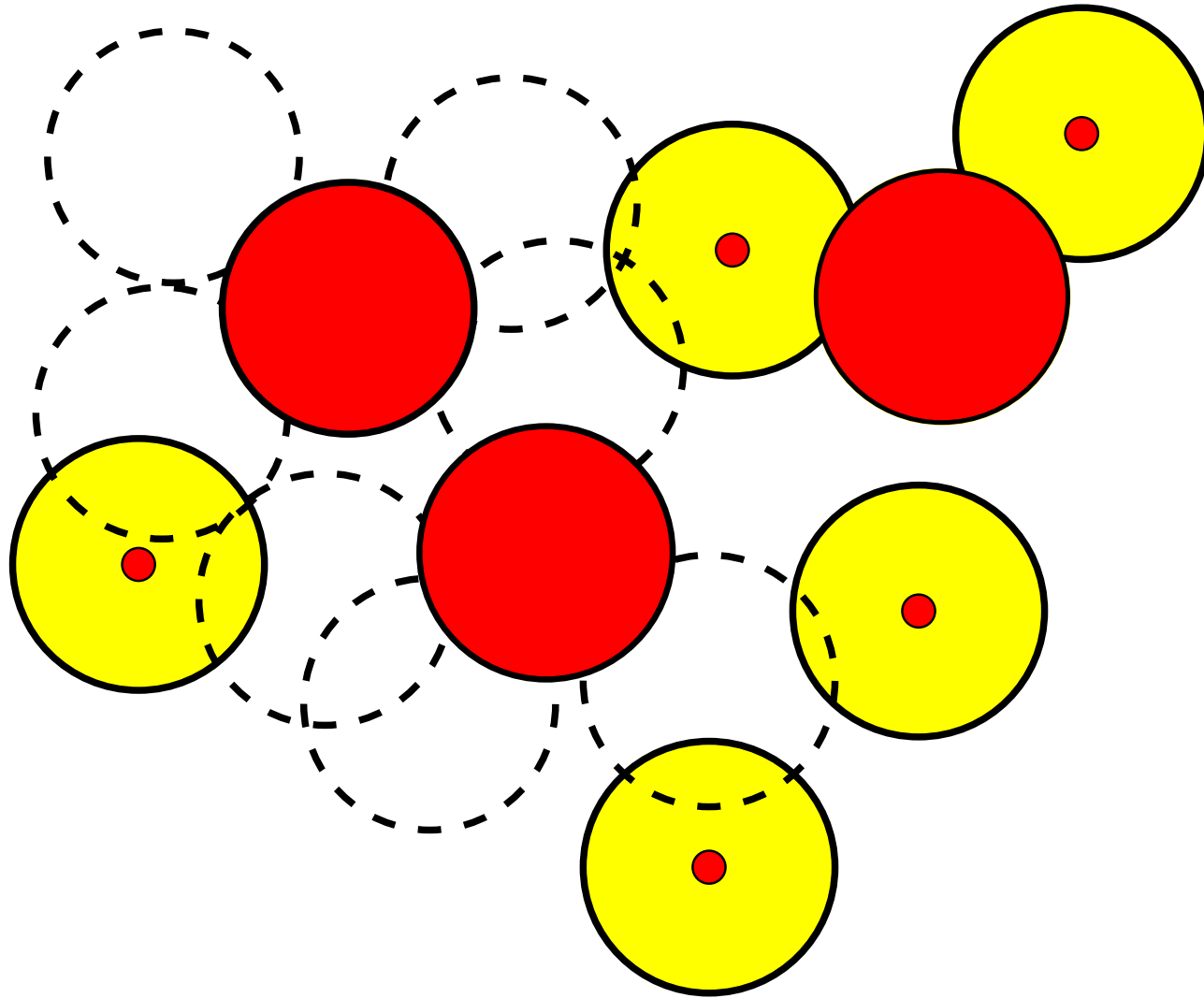
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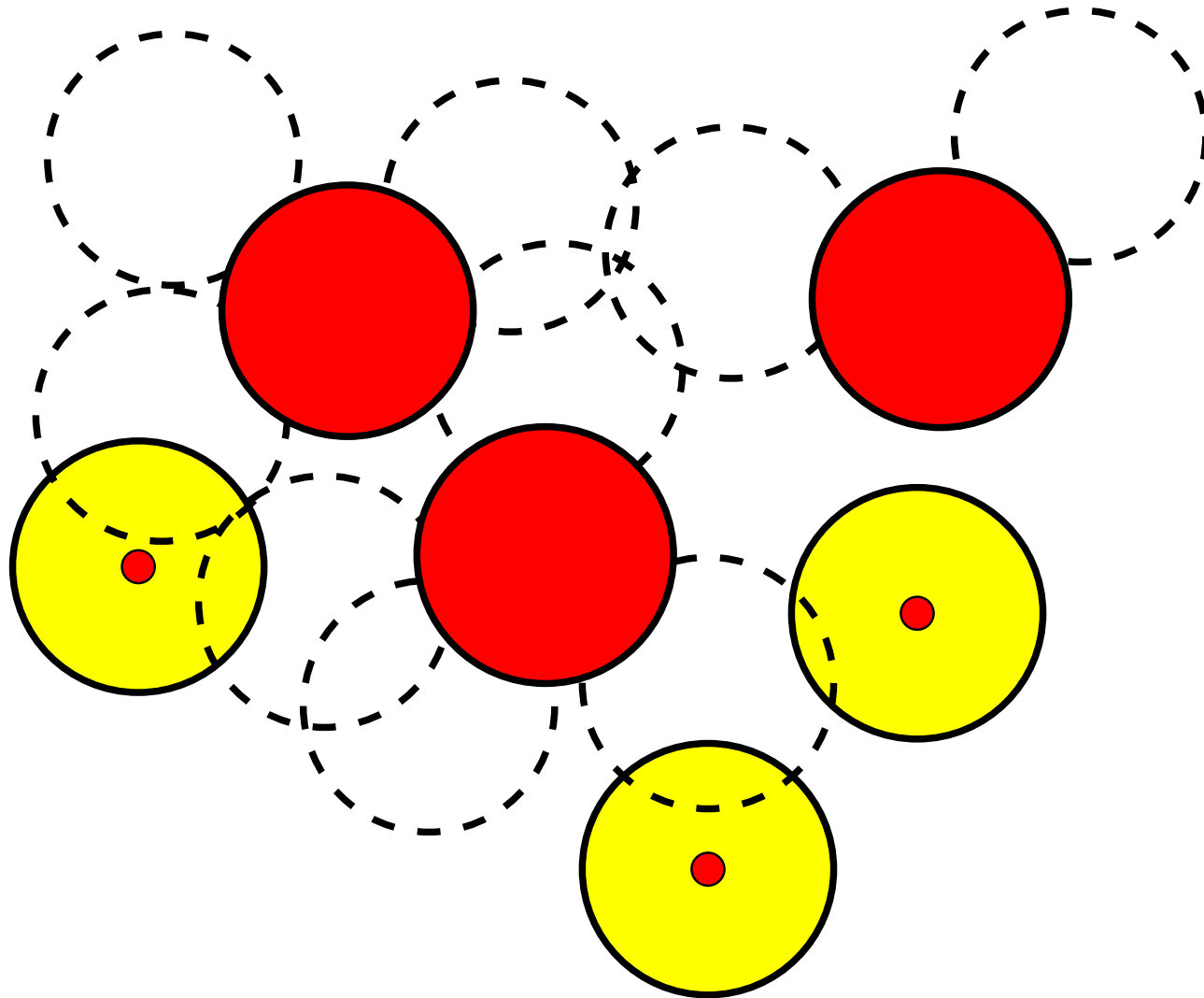
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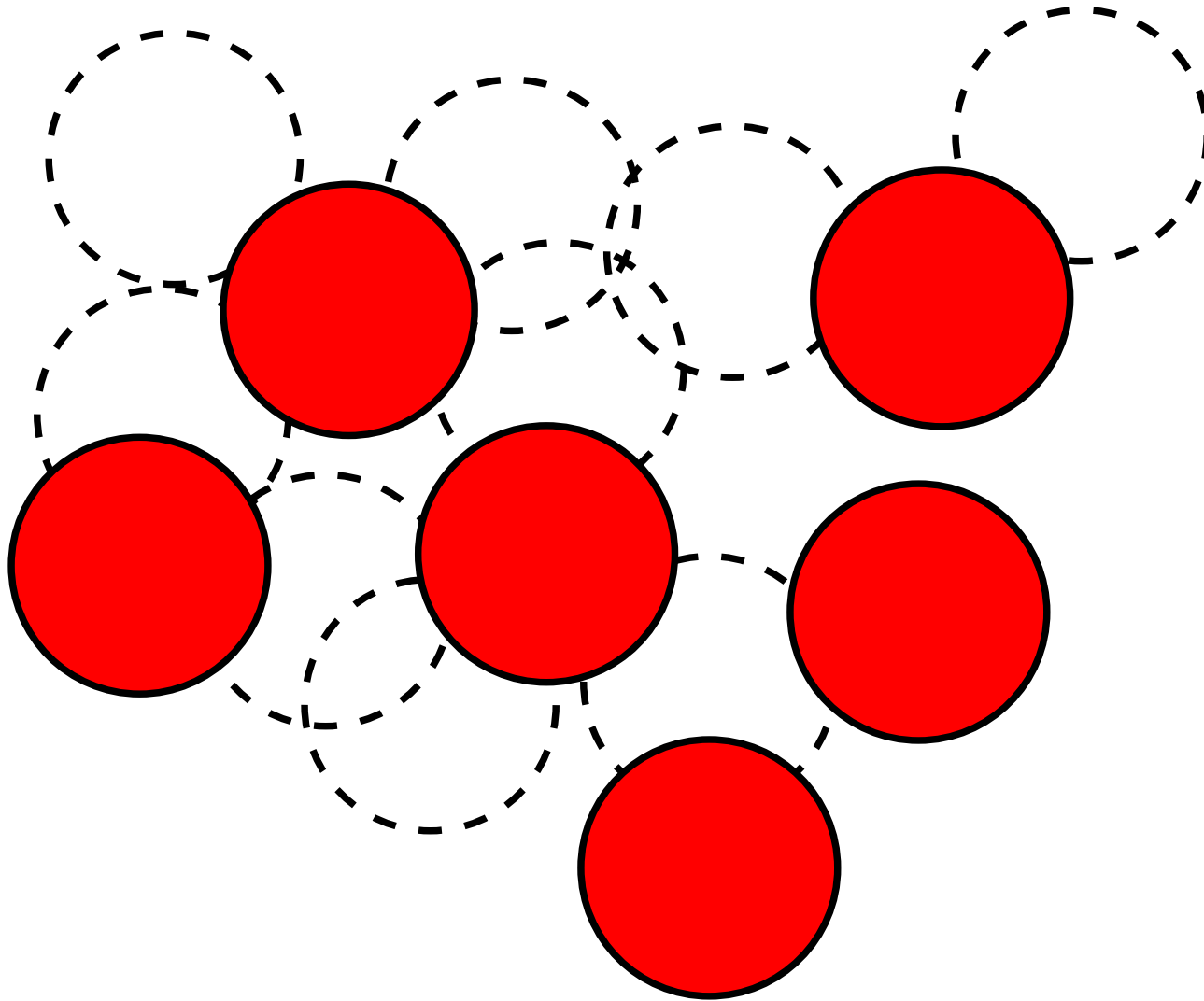
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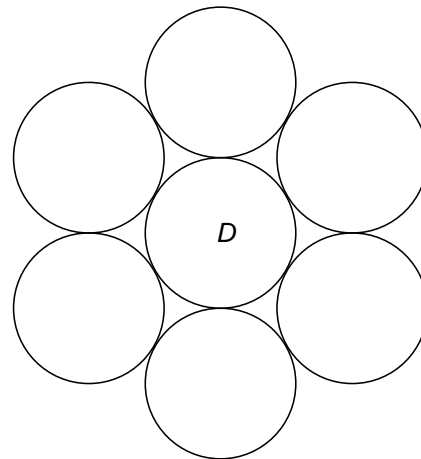
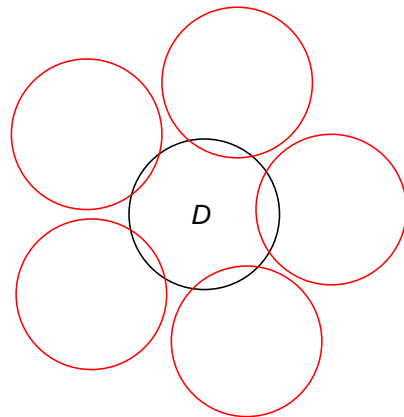
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At most 5:



# Simple approximation results

The algorithm outputs the set  $\mathcal{U}$ , and the optimal solution has size at least  $|\mathcal{U}|/5$ .

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**Remark:** There are also fast distributed approximation algorithms for dominating set problems in unit disk graphs or general graphs. (Gao et al., 2001, Kuhn & Wattenhofer, 2005)

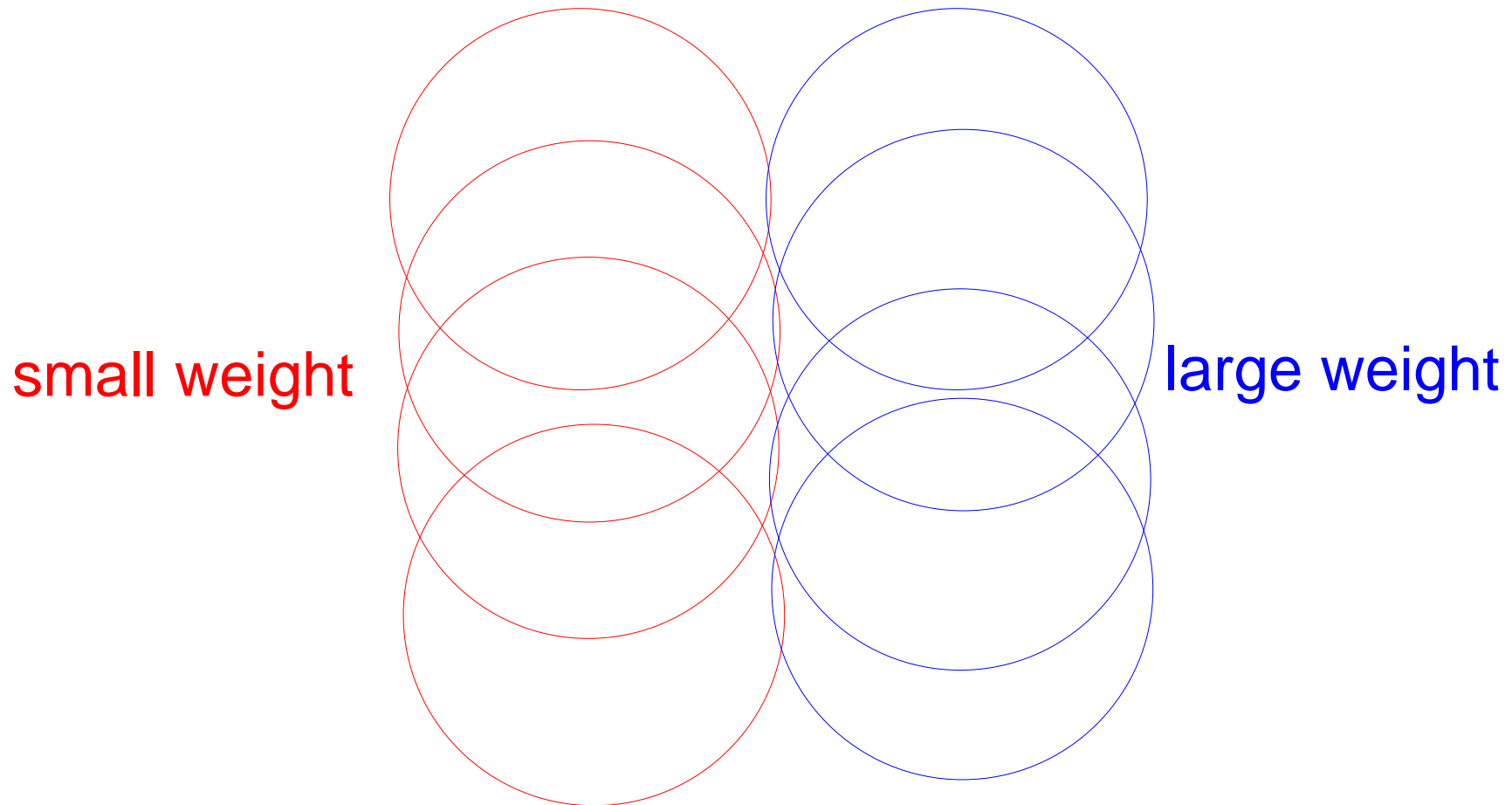
# Known dom. set approximations

- In **arbitrary graphs**, ratio  $\Theta(\log n)$  is best possible (unless  $P = NP$ ) for MDS, MWDS, MCDS and MWDCDS. [Feige '96; Arora and Sudan '97; Guha and Khuller '99]
- For **MDS in unit disk graphs**, a PTAS can be obtained using the shifting strategy [Hunt III et al., 1994]:
  - Any maximal independent set is a dominating set.
  - Therefore, the smallest dominating set in a constant-size square can be found in polynomial time by enumeration.
- PTAS for **MDS in unit disk graphs without representation** [Nieberg and Hurink, 2005]
- PTAS for **MCDS in unit disk graphs** [Cheng et al., 2003]
- **Question:** MWDS and MWDCDS in unit disk graphs?



# Shifting strategy doesn't seem to work

MWDS can be arbitrarily large for unit disks in an area of constant size:



⇒ Brute-force enumeration does no longer work.

# Constant-Factor Approximation

**Theorem (Ambühl, E, Mihal'ák, Nunkesser, 2006)** There is a constant-factor approximation algorithm for MWDS in unit disk graphs.

## Ideas:

- Partition the plane into unit squares and solve the problem for each square separately.
- In each square, reduce the problem to the problem of covering points with weighted disks.
- Use enumeration techniques (guess properties of OPT) and dynamic programming to solve the latter problem.

The constant factor is 72.

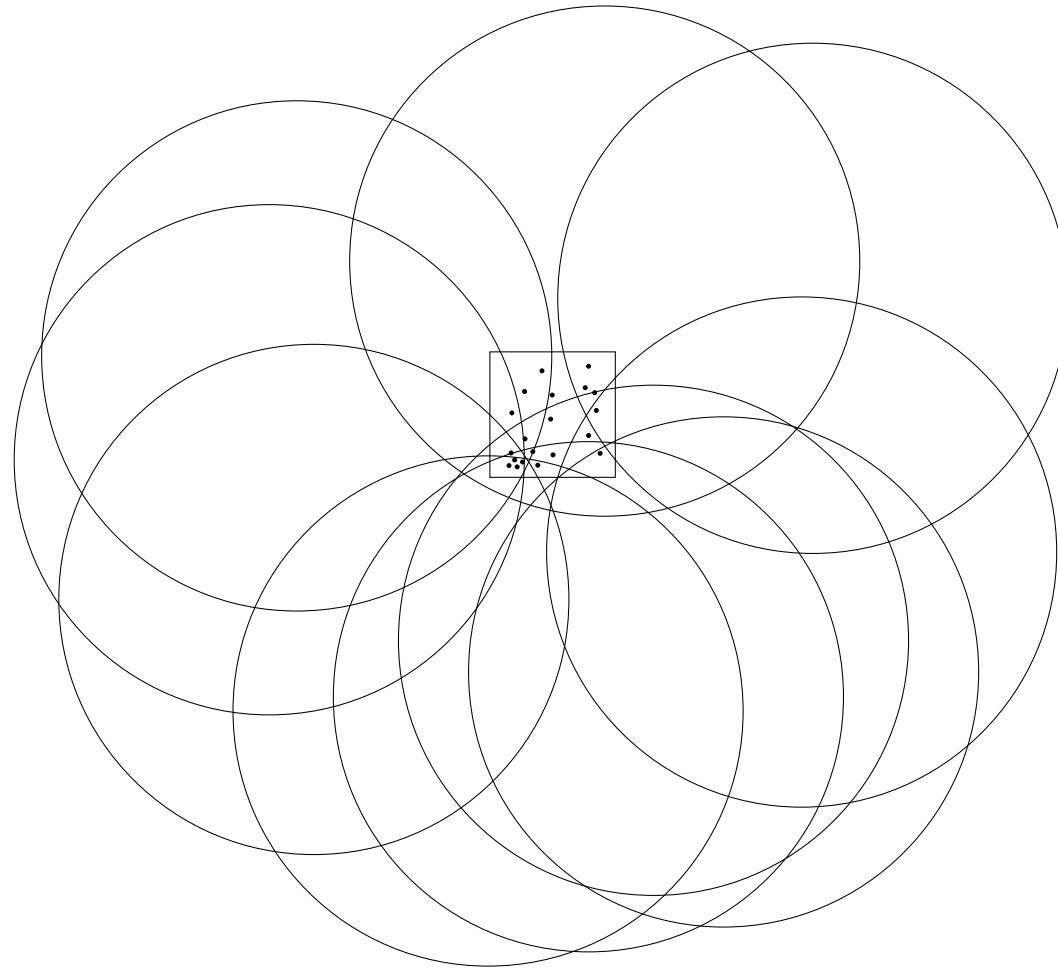
# The subproblem for each square

- Find a dominating set for the square:
  - Let  $\mathcal{D}_S$  denote the set of disks with center in a  $1 \times 1$  square  $S$ .
  - Let  $N(\mathcal{D}_S)$  denote the disks in  $\mathcal{D}_S$  and their neighbors.
  - **Task:** Find a minimum weight set of disks in  $N(\mathcal{D}_S)$  that dominates all disks in  $\mathcal{D}_S$ .

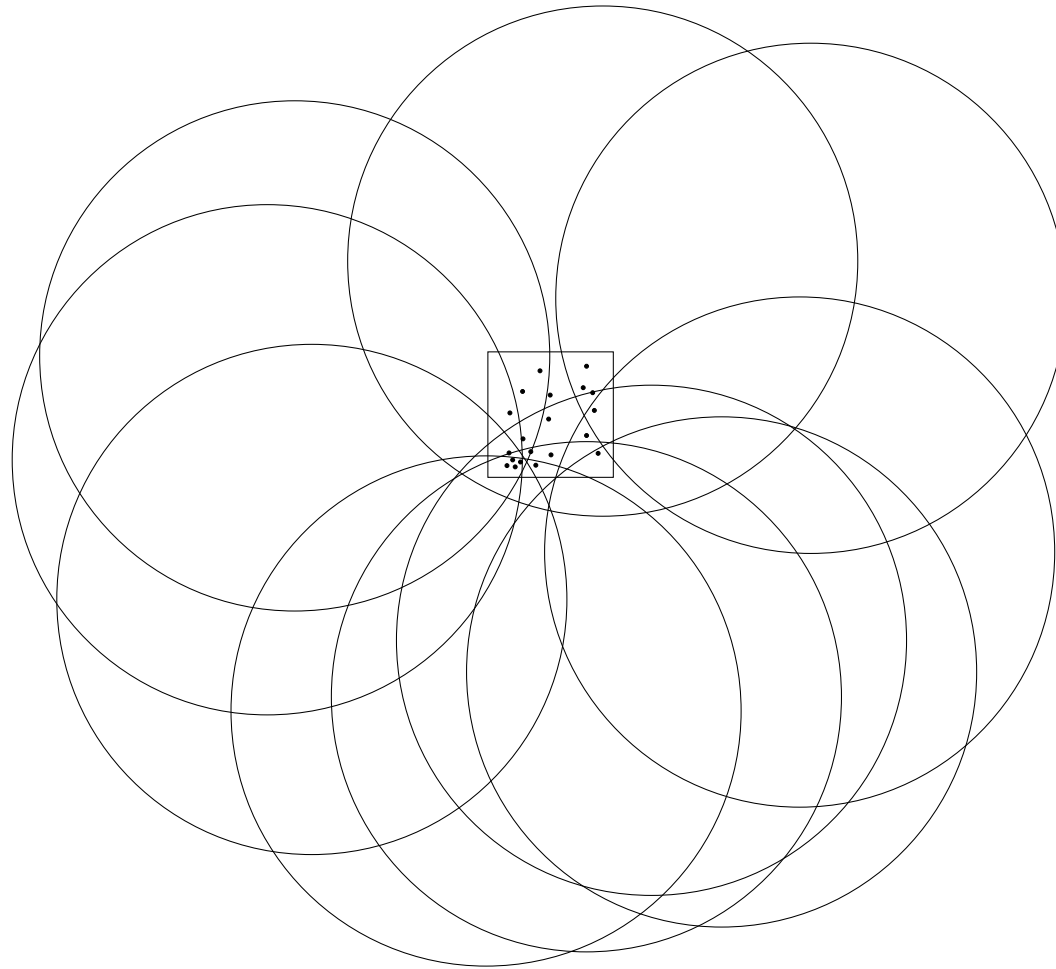
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- Reduces (by guessing the max weight of a disk in  $\text{OPT}_S$ ) to covering points in a square with weighted disks:
  - Let  $P$  be a set of points in a  $\frac{1}{2} \times \frac{1}{2}$  square  $S$ .
  - Let  $\mathcal{D}$  be a set of weighted unit disks covering  $P$ .
  - **Task:** Find a minimum weight set of disks in  $\mathcal{D}$  that covers all points in  $P$ .

# Covering points by weighted disks



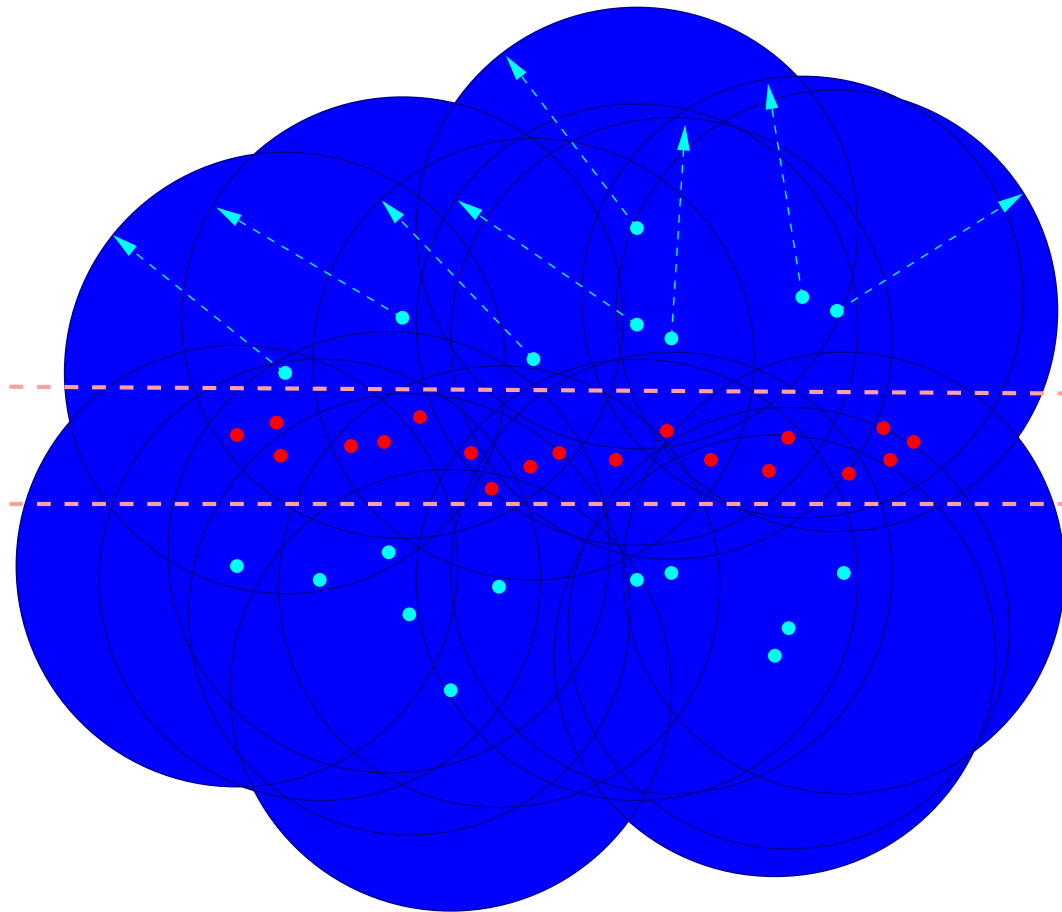
# Covering points by weighted disks



**Remark.**  $O(1)$ -approximation algorithms are known for unweighted disk cover [Brönnimann and Goodrich, 1995].

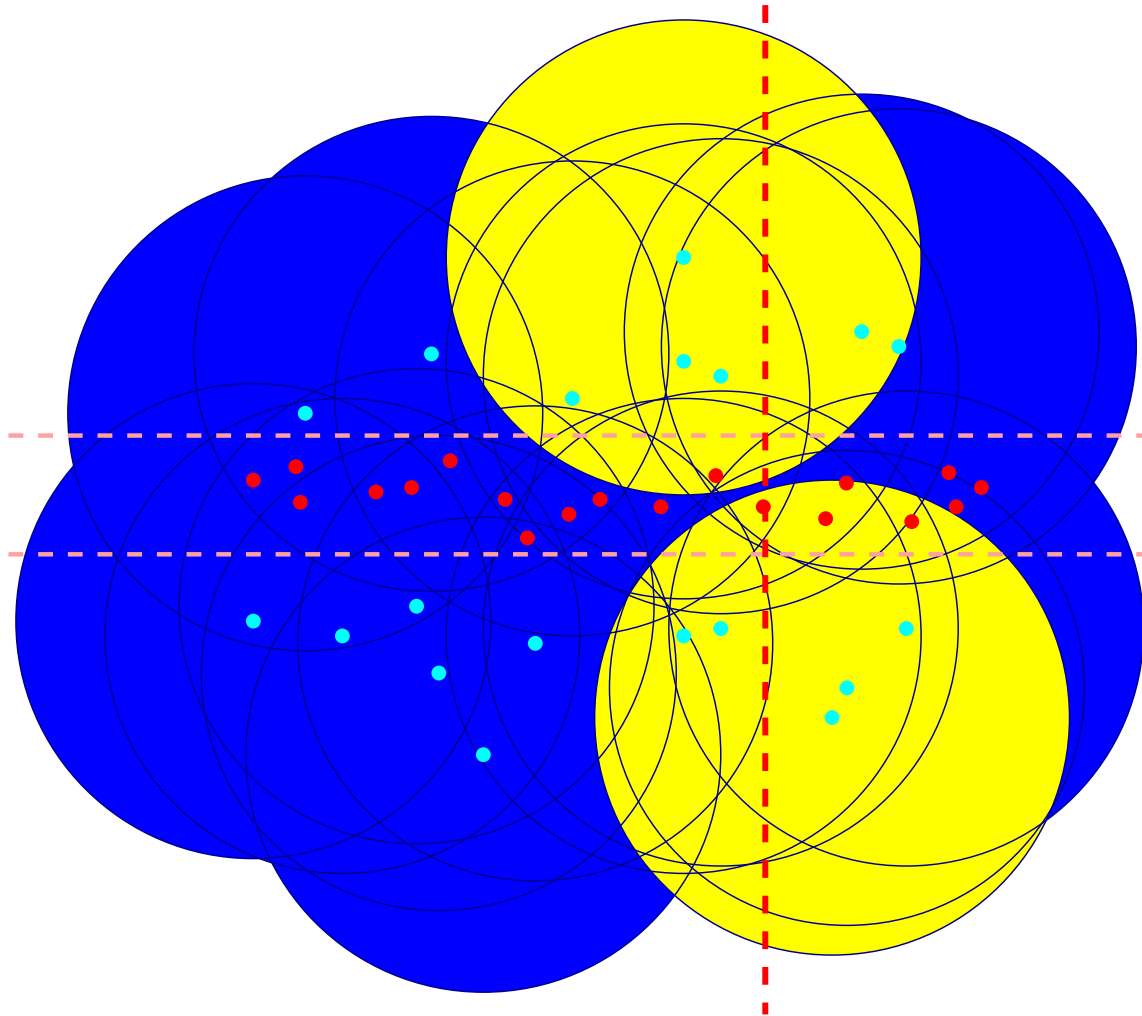
# Polynomial-time solvable subproblem

- Given a set of points **in a strip**, and a set of weighted unit disks with centers **outside the strip**, compute a minimum weight set of disks covering the points.



# Dynamic programming

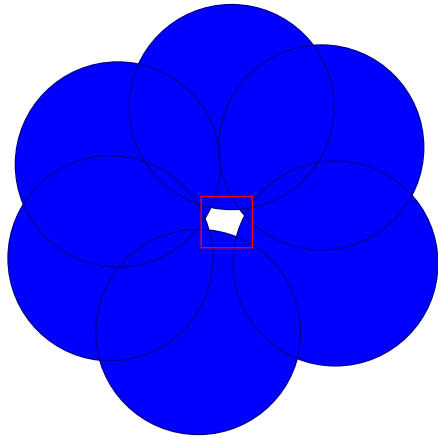
- Vertical sweepline, table entry for every pair of disks that could be on the lower and upper envelope:



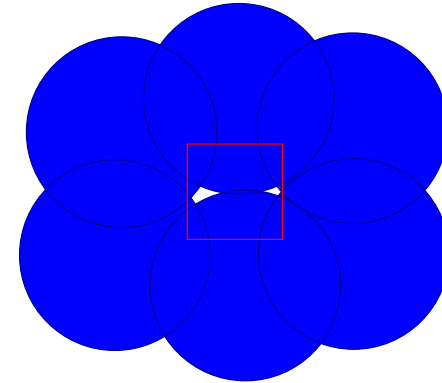


# Main cases: One hole or many holes

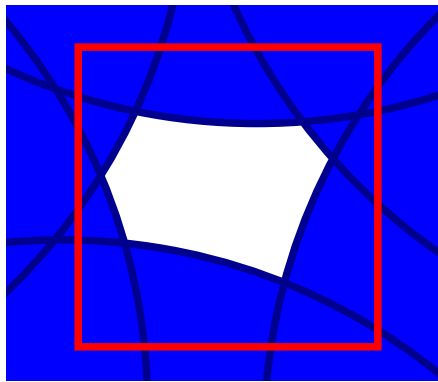
One-hole case:



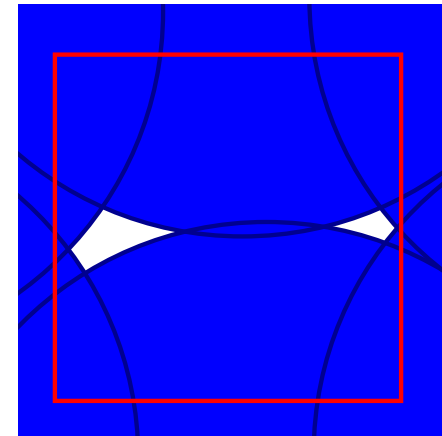
Many-holes case:



Enlarged:

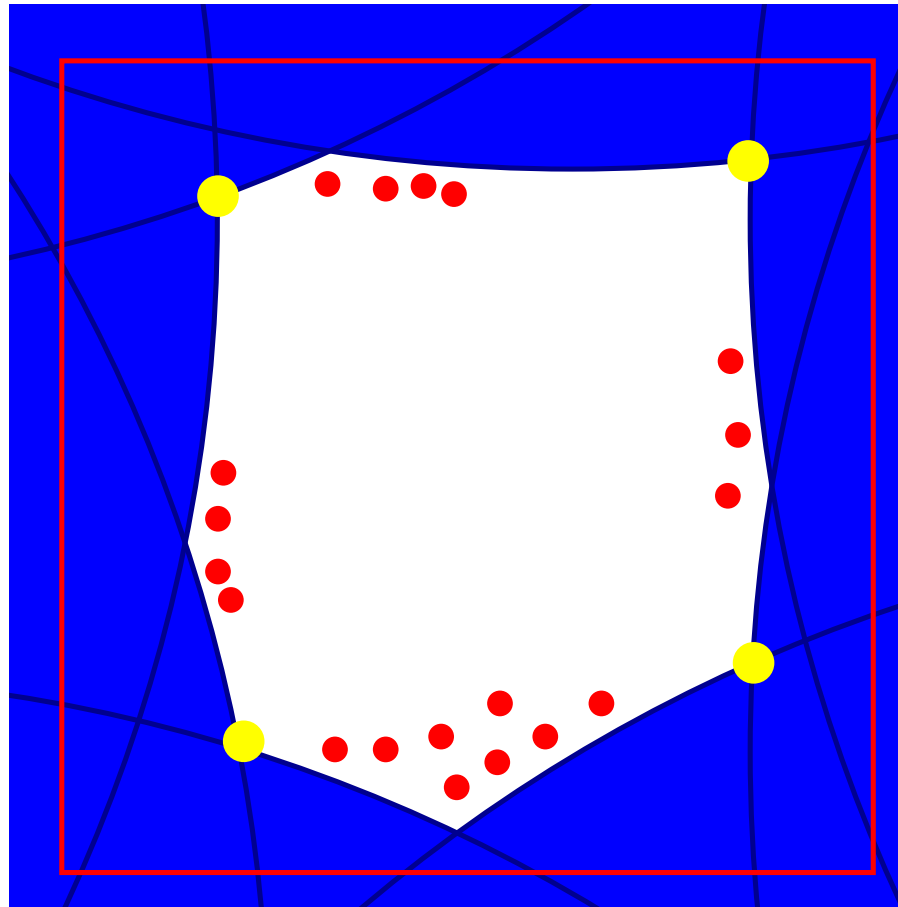


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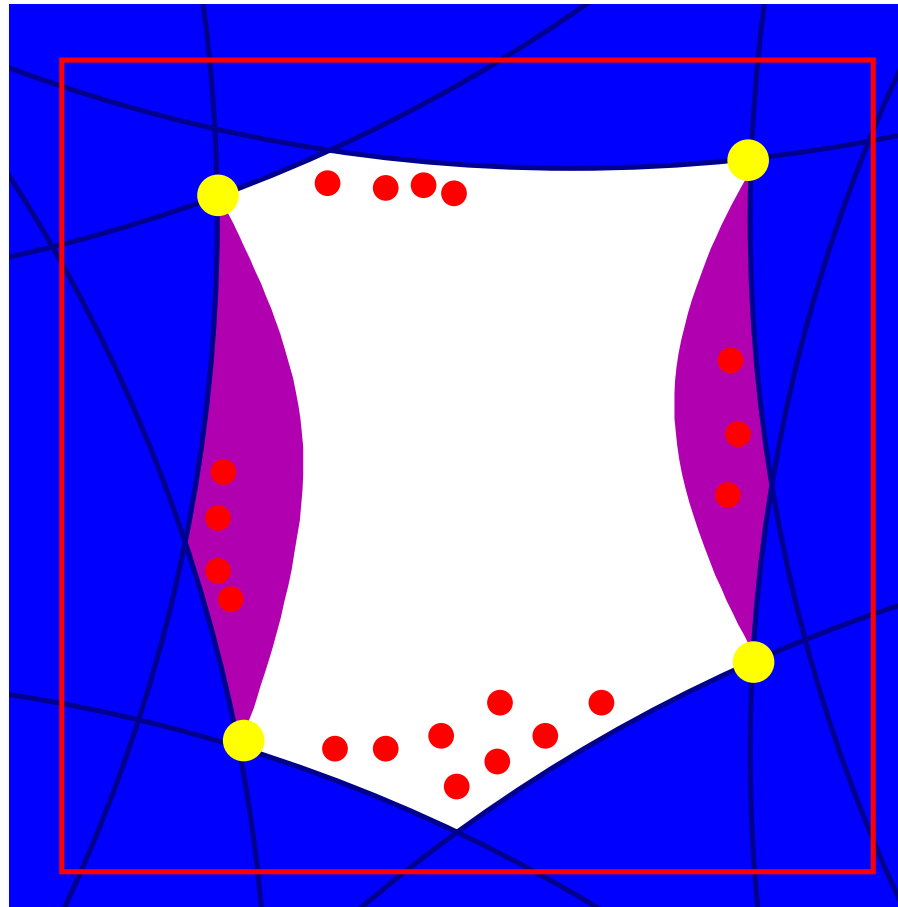
# Sketch of the one-hole case

**Step 1:** Guess the four “corner points” of the optimal solution (each of them is defined by two disks).



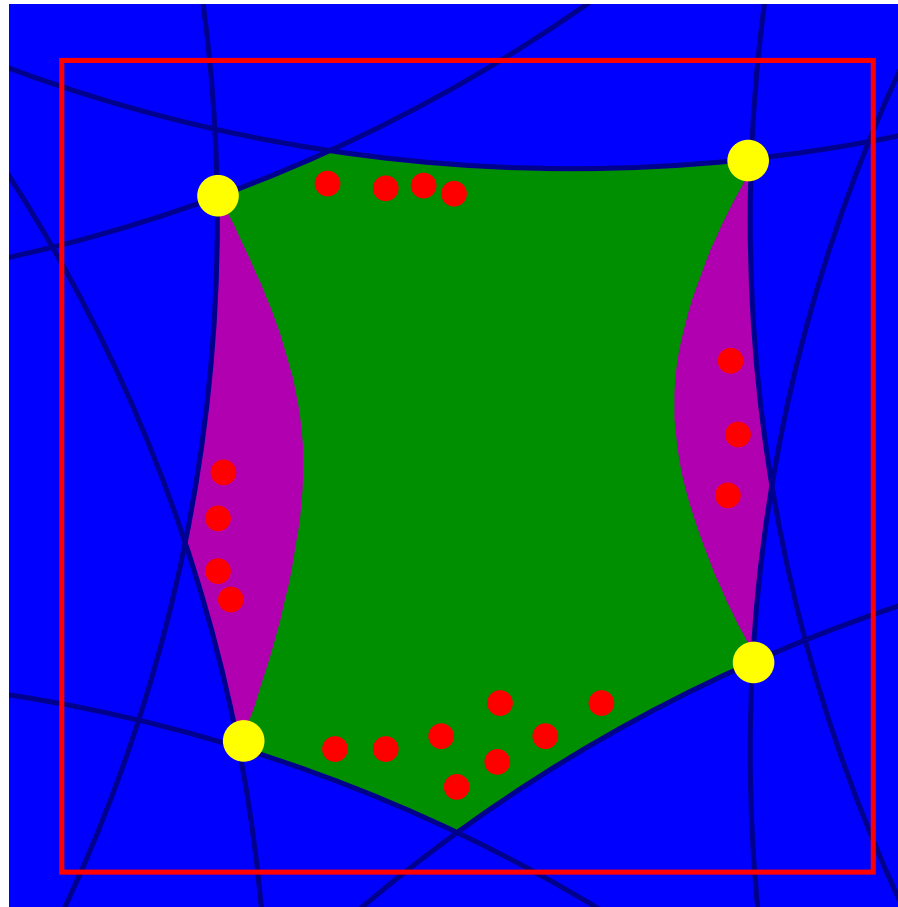
# Sketch of the one-hole case

**Step 2:** Two regions that can only be covered with disks whose centers are to the left or right of the square.



# Sketch of the one-hole case

**Step 3:** Remaining area can only be covered with disks whose centers are above or below the square.



# Summary: MWDS in unit disk graphs

- Partition the plane into unit squares and solve the problem for each square separately. (We lose a constant factor compared to OPT.)
- For each square, reduce the weighted dominating set problem to a weighted disk cover problem.
- Distinguish one-hole case and many-holes case.
- In each case, we have a 2-approximation or optimal algorithm for covering points in the square with weighted unit disks.
- This implies the constant-factor approximation algorithm for MWDS in unit disk graphs.

# Weighted Connected Dominating Sets

**Theorem.** There is a constant-factor approximation algorithm for MWCDs in unit disk graphs.

## Algorithm Sketch:

- First, compute an  $O(1)$ -approximate MWDS  $D$ .
- Build auxiliary graph  $H$  with a vertex for each component of  $D$ , and weighted edges corresponding to paths with at most two internal vertices.
- Compute a minimum spanning tree of  $H$  and add the disks corresponding to its edges to  $D$ .

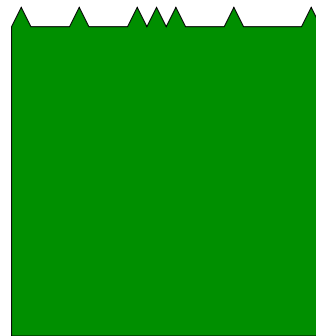
We can show: The total weight of the disks added to  $D$  is at most  $17 \cdot \text{OPT}$ , where  $\text{OPT}$  is the weight of a minimum weight connected dominating set. The overall approximation ratio is then  $72 + 17 = 89$ .

# Further results on MDS and MWDS

**Theorem.** [E, van Leeuwen 2006] For disk graphs with bounded ply, there is a  $(3 + \varepsilon)$ -approximation algorithm for MWDS.

**Theorem.** [E, van Leeuwen 2006] For rectangle intersection graphs, MDS is APX-hard.

**Theorem.** [E, van Leeuwen 2006] For intersection graphs of “squares with bumps” (or even for similar, convex objects), MDS cannot be approximated with ratio  $o(\log n)$  unless  $P = NP$ .



# Open Problems



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  - Is the problem APX-hard?
- What is the complexity of the **maximum clique** problem in disk graphs?  
(polynomial for unit disk graphs [Clark et al., 1990], *NP*-hard for ellipses [Ambühl, Wagner 2002])

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- Can we achieve approximation ratio  $o(\log n)$  for MDS and MWDS?

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  - Known: For every  $c > 0$ , there is an approximation algorithm with ratio  $1 + \frac{1}{c} \log n$ . [Berman et al., 2001]
  - Known: If all rectangles have the same height, there is a PTAS. [Agarwal et al., 1998]
- Can we achieve approximation ratio  $o(\log n)$  for MDS and MWDS?
- Can rectangle intersection graphs be **colored** with  $O(\omega)$  colors, where  $\omega$  is the clique number?  
(best known upper bound:  $O(\omega^2)$  colors [Asplund and Grünbaum, 1960])

**Thank you!**